Private Funds CFO Boston Roundup 2023 Agenda

June 7, 2023

Confirm speakers include:

Keynote speakers:

Jason Currier, Chief Financial Officer Private Equity, **Audax Private Equity**
Susan Gentile, Managing Director and Chief Financial Officer, **Advent International**
Kristen Laguerre, Chief Financial Officer and Chief Operating Officer, **MPM BioImpact**

Conference chair:

Aileen Keaney, Chief Financial Officer, **Ethos Capital**

Speakers:

Brian Andruskiewicz, Chief Financial Officer, **Sagewind Capital**
Noah Becker, Chief Financial Officer, **LLR Partners**
Graham Bippart, Editor, **Private Funds CFO**
Danny Bloomstine, Account Director, Venture Capital, **Juniper Square**
Ben Collins, Senior Director, Financial Services Industry Marketing, **Sage**
Sarah Conde, General Counsel, Managing Director & Chief Compliance Officer, **Providence Equity Partners**
Alison Connors, Chief Financial Officer, **Third Rock Ventures**
Adam DePanfilis, Director, Fund Administration, **RSM**
April Evans, CFO, **PACE Healthcare Capital**
Adam Geisler, Chief Financial Officer, **Activant Capital**
Deborah Hodges, Managing Director, Chief Operating Officer, **MidOcean Partners**
Dominic Hong, Chief Financial Officer, **TrueBridge Capital**
James Jefski, Head of North America Alternatives Segment, **State Street**
Raoul Kamath, Regional Director - Americas, **EWM Global**
Daniel Kim, Associate General Counsel, **FTV Capital**
Mike Kubacki, CFO, **New Spring Capital**
Ed Leitinger, Principal, **Withum**
Kwame Lewis, Head of Product Innovation, Fund Services, **TMF**
Erin Mauro, VP Financial Operations, **Matrix**
Allison Miyake, Chief Financial Officer and Chief Compliance Officer, **Clarendon Capital**
Pinal Parekh, CFO and Managing Director, **Warren Equity Partners**
Tracy Pizzi, Partner & Chief Financial Officer, **Yellow Wood Partners**

Steven Schaefer, Chief Financial Officer, **Graycliff Partners**
Eric Souza, Chief Technology Officer and Chief Information Security Officer, **Berkshire Partners**
Christine Smoragiewicz, Partner, CFO & CCO, **MPE Partners**
Atul Varma, Chief Financial Officer, **Hamilton Lane**

8:15-8:55 **Registration – breakfast**

8:55-9:00 **PEI welcome & chairperson's welcome**

Aileen Keaney, Chief Financial Officer, **Ethos Capital**

9:00-9:40 **Keynote panel: View from the top: insights from influential private market CFOs**

During this 40-minute discussion, a panel composed of leading Boston area, private market CFOs from various assets classes will discuss their career paths, how are they are currently approaching the role of today's CFO and more.

- How have priorities changed since first stepping into the role?
  - CFOs holding a more strategic role in firm-wide initiatives
- Approaching leadership in today's working environment
- From technical to personal – what skills are valued and required for today's CFO?
- Modernizing the role: how do CFOs stay ahead of the trends?
- Advice to future leaders: how can junior or mid-level back/middle office staff prepare themselves for the C-suite?

Panelists
Jason Currier, Chief Financial Officer Private Equity, **Audax Private Equity**
Susan Gentile, Managing Director and Chief Financial Officer, **Advent International**
Kristen Laguerre, Chief Financial Officer and Chief Operating Officer, **MPM BioImpact**

Moderator
Graham Bippart, Editor, **Private Funds CFO**

Roundtable discussions

Roundtable discussions are 30-minute interactive, peer-driven conversations, facilitated by two industry experts, on various topics facing the GP finance and operations communities. Throughout the day, facilitators will rotate tables to ensure all delegates participate in each roundtable discussion.

Private Funds CFO Network

Boston Roundup

June 7
Hyatt Regency Boston Harbor, MA

Roundtable 1 | Keeping up with compliance: the new Marketing Rule and other important priorities

- Marketing Rule follow up: challenges with gross and net performance calculations
  - Are firms prepared for exam questions on the Marketing Rule?
- Ensuring your internal compliance program can withstand regulatory transformation
- Getting ahead of and adhering to items on the SEC's radar (conflicts of interest, cybersecurity, ESG, etc.)
- Actions firms can take to best prepare themselves for SEC exams (taking mock exams, obtaining recent exam letters, document request lists, etc.)
- Identifying additional resources to manage the firm's compliance workload

Facilitators

Sarah Conde, General Counsel, Managing Director & Chief Compliance Officer, **Providence Equity Partners**

Daniel Kim, Associate General Counsel, **FTV Capital**

Roundtable 2 | Assembling today's finance and operations teams

- Identifying the necessary skills for today's PE back/middle office professionals
- Best practices for sourcing candidates in the current job market
- How is PE managing generational expectations and creating a culture that matches the current working environment?
  - Non-traditional, work hours, hybrid working, salaries, etc.
- Effectively presenting talent challenges to firm management/partnership
- Opportunities for growth when options a title promotion is not feasible

Facilitators

Alison Connors, Chief Financial Officer, **Third Rock Ventures**

James Jefski, Head of North America Alternatives Segment, **State Street**

Roundtable 3 | Utilizing technology to strategically enhance firm functions

- What focus areas of today's CFO require more technology adoption to help drive success?
- Adapting to technology to obtain the most benefits and results
- Allocating resources properly within the firm
  - How can current platforms be used in various departments?
  - Deciding when to shift gears (hire additional talent, work with new partners or adopt new technology)

Roundtable 4 | Presenting and governing data effectively across the firm

- Consolidating data from various departments to create a single source of truth and become a better storyteller
- Determining the level of data analysis/data science knowledge required from the finance team
- Innovative approaches to data collection
  - Utilizing machine learning & RPA

Private Funds CFO Network

Boston Roundup

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- Properly reviewing whether current systems and partners are aligning with the firms priorities
- Managing expectations while existing external partners are navigating staffing changes

Facilitators

Pinal Parekh, CFO and Managing Director, **Warren Equity Partners**
Raoul Kamath, Regional Director – Americas, **EWM Global**

- Enhancing connectivity between external technology programs and data management software

Facilitators

Erin Mauro, VP Financial Operations, **Matrix**

Adam DePanfilis, Director, Fund Administration, **RSM**

Roundtable 5 | Navigating LP/GP relationships within finance and operations team

- Keeping up with investor sophistication (DDQs, ad-hoc questions)
- What non-investment related information are LPs seeking outside of fundraising?
- Approaching portfolio monitoring and investor reporting portals
    - How to make them fulfil LP & GP needs?
        - What is the level of detail wanted? (Overall fund performance vs. specific portfolio company data)
- Working with your IR team to understand where the CFO should be included to satisfy investors
    - CFO involvement during investor and annual general meetings
- Utilizing LPACs to support and provide insights to management company finance and operations teams
- Challenges of working with LPs in various geographical locations

Facilitators

Ed Leitinger, Principal, **Withum**

Steven Schaefer, CFO, **Graycliff Partners**

Roundtable 6 | Involving the CFO in fundraising

- How are CFOs playing a greater role in supporting deal/IR teams?
    - Providing data to enhance decision making
- Obtaining and using credit lines and facilities
- How are firms adjusting to the current pace of capital deployment?
- Adapting to the retailization of PE and new types of investors
- What items do investors want to learn from the finance and operations team throughout the fundraising cycle?

Facilitators

Danny Bloomstine, Account Director, Venture Capital, **Juniper Square**

Mike Kubacki, CFO, **NewSpring Capital**

Roundtable 7 | Stabilizing firm-wide reporting methods

- How are firms handling individual reporting requests and formats?
    - Investors: Adopting templates (GIPS, ILPA) to create industry uniformity
    - Utilizing software/ technology
    - Outsourcing
- Ensuring preparedness for SEC questioning
- Navigating complexities within ESG reporting (fund, portfolio and management company)
- Properly documenting fees and expenses

Facilitators

Tracy Pizzi, Partner, **Yellow Wood Partners**

Kwame Lewis, Head of Product Innovation, Fund Services, **TMF**

Roundtable 8 | Approaching valuation methods and protocols in today's market

- The macro-environment and its potential impact to valuations
- What valuation methodologies have been proven successful in this environment?
- How are firms gathering data from portfolio companies effectively?
- Mitigating conflicts within the valuations
    - Preparing for the SEC's focus on valuations
- Striking a balance between the role of the investment team and finance team in valuations

Facilitators

Christine Smoragiewicz, Partner, CFO & CCO, **MPE Partners**

Atul Varma, Chief Financial Officer, **Hamilton Lane**

9:45-11:15	Rotations 1-3
11:15-11:45	**Networking break**
11:45-1:15	Rotations 4-6
1:15-2:15	**Networking lunch break**
2:15-3:15	Rotations 7-8
3:15-3:45	**Interactive panel discussions** More interactive than traditional panel discussions, these sessions start with a 10-minute panel discussion followed by a 20-minute interactive Q&A session where attendees can interact with each other and directly voice their thoughts on some of the most pressing topics.

Track A | Dissecting the technology implementation process

- Showcasing the value of adopting innovative and new technology to leadership

Track B | Building a cyber aware culture to reduce risks

- Establishing practical cyber training programs across the firm

- Understanding how teams can prepare in advance of onboarding a new system or provider
- Developing transparency between external partners and internal teams throughout the implementation process
- Is it important to have a dedicated IT person to assist with managing the firm's technology infrastructure?

Panelists

Dominic Hong, Chief Financial Officer, **TrueBridge Capital**

Allison Miyake, Chief Financial Officer and Chief Compliance Officer, **Clarendon Capital**

Moderator

Ben Collins, Senior Director, Financial Services Industry Marketing, **Sage**

  - Ensuring all employees understand their exposure to cyber threats of various sizes
- How often do firms need to refresh their policies and procedures to stay up to date with the latest actions from bad actors?
- What role should the firm have in managing third parties and portfolio companies from a cyber perspective?
- Carefully identifying who internally and externally should have access to sensitive materials
- Taking inventory of firm sensitive information internally and externally
- Preparing for SEC focus and potential cybersecurity rule
- Acquiring proper cyber insurance

Panelists

Adam Geisler, Chief Financial Officer, **Activant Capital**

Deborah Hodges, Managing Director, Chief Operating Officer, **MidOcean Partners**

Eric Souza, Chief Technology Officer and Chief Information Security Officer, **Berkshire Partners**

Moderator

Noah Becker, Chief Financial Officer, **LLR Partners**

3:45-4:00 **Networking break**

4:00-4:45 **Think tanks**

Designed to facilitate candid discussions, these closed-door sessions target the issues and challenges impacting firms. Attendees can benchmark ideas and share best practices to help you gain solutions for common concerns facing your office.

Think tank A (GP only) | Pinpointing vendors and systems that align with firm priorities

- What's the best place to start when finding the best provider or platform as firms looks to make innovative changes?
  - i.e., References, word of mouth, research, demos, interviews, etc.
- Important elements or factors to look for when searching for a new vendors and systems
- Identifying the right solutions that can carry a firm forward for the long term
  - Determining when to build in-house technologies or utilize a third party
  - Implementing systems and platforms that serve multiple departments

Facilitator
April Evans, CFO, **PACE Healthcare Capital**

Think Tank B (GP only) | Creating attractive and practical compensation schemes in PE

- How can firms be creative with their compensation programs?
- Addressing top concerns from external partners (i.e., LPs and SEC) about investment related compensation tactics
- Collaborating with firm leadership on classifying compensation practices and guideline
- Tax considerations for compensation for firms following in various fund structures

Facilitator
Brian Andruskiewicz, Chief Financial Officer**, Sagewind Capital**

Think Tank C (SP only) | How are industry providers keeping up with the current market?

- What's more important? - having innovative or trusted products and services?
- Supply and demand – ensuring clients of all sizes and workloads are getting their needs met
- Navigating project management while simultaneously undergoing staffing changes
- Supporting clients while the market undergoes constant change
- How does the SEC's focus on outsourcing and technology effect client relationships?

Facilitator
Graham Bippart, Editor, **Private Funds CFO**

4:45 – 5:45 End of Roundup and Cocktail reception

PEI

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