

Private Funds
CFO

Private Fund Compliance Forum

May 23-24, 2023
Convene 117 West 46th Street, New York

Exploring the role of the private funds CCO

To learn more, visit:
privatefundscfo.com/private-fund-compliance-forum/



*This is the most
informative
conference I
do every year.*

Gabriel Willey
PCCP

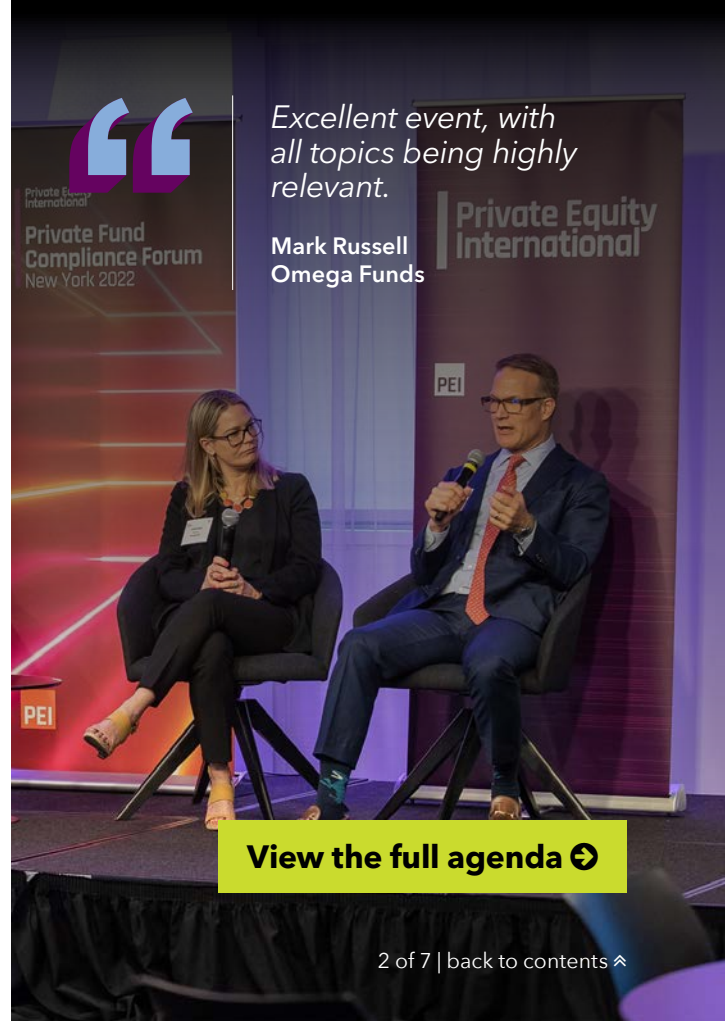
PEI

Explore the most pressing topics in the compliance space

The agenda is carefully curated to keep you up to date on the **latest regulations and enforcement actions from the SEC**, emerging trends in compliance, and much more.

Topics covered include:

- » Effects of the Marketing Rule
- » Expectations and evolution of the CCO role
- » Managing cybersecurity threats
- » Uncovering SEC exam priorities
- » ESG and climate related regulations
- » Business continuity planning
- » Managing technology and external providers from a compliance standpoint



Exclusive keynote speakers



Bruce Karpati

Partner & Global Chief Compliance
Officer

KKR

Join **KKR's Global Chief Compliance Officer** and **Chief Information Security Officer** for a keynote discussion on managing cybersecurity concerns with compliance in mind.

Topics of discussion include:

- Developing internal policies and procedures that protect the firm, LPs and portfolio companies
- How firms are proactively and consistently mitigating cyber threats
- Navigating SEC priorities relating to cyber risk
- And much more...



David Stern

Managing Director, Chief
Information Security Officer

KKR

Hear from leaders in private market compliance



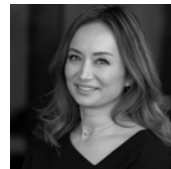
Jacob Comer
General Counsel and CCO
NovaQuest Capital



Sarah Conde
General Counsel, Managing Director
& Chief Compliance Officer
Providence Equity Partners



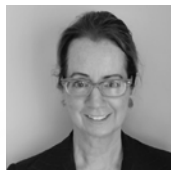
Sarah Curran
Director of Enterprise Risk and CCO,
Private Investments
**Wellington Management
Company**



Carolyn Drayer-Greenwalt
Chief Compliance Officer
Patient Square Capital



Gregory Hegerich
Head of Compliance, North America
Private Investments & Real Estate
Bain Capital



Maryellen Maurer
Senior Securities Compliance
Examiner - Private Funds Unit
**U.S. Securities & Exchange
Commission**



Kelly Pettit
Managing Director, Chief
Compliance Officer, Associate
General Counsel
General Atlantic



Arthur Price
Managing Director, Chief
Compliance Officer and Assistant
General Counsel
Thomas H. Lee Partners

[View all speakers ↻](#)

Confirmed attendees include:

- » ACON Investments
- » Adams Street Partners
- » American Realty Advisors
- » Arcline Investment Management
- » Argand
- » Atlantic Street Capital Management
- » Bain Capital Investors
- » Bow River Asset Management
- » Brightstar Capital Partners
- » CapitalSouth Partners
- » Carbon Direct Capital Management
- » Ethos Capital
- » FFL Partners
- » Fundamental Advisors
- » General Atlantic
- » GI Partners
- » Greylion Capital
- » HarbourVest Partners
- » Insight Partners
- » JMI Management
- » Kainos Capital
- » KKR
- » Leonard Green & Partners
- » Levine Leichtman Capital Partners
- » Lindsay Goldberg
- » NovaQuest Capital Management
- » Old Ironsides Energy
- » Paine Schwartz Partners
- » Parthenon Capital
- » Providence Equity Partners
- » Rockbridge Capital
- » Siris Capital Group
- » Star Mountain Capital
- » Stepstone Conversus
- » The Vistria Group
- » Thomas H. Lee Partners
- » Trilantic North America
- » TSG Consumer Partners
- » TZP Group
- » Wellington Management
- » Whitehorse Liquidity Partners
- » Wind Point Partners
- » And many more!



The conference was extremely valuable and I took a lot of action items applicable to our firm.

Ashley Sherman
Frontier Growth



Book before March 3 for the best rate!

Book now ↻

Bring your team and enjoy significant discounts! For more information, contact Anna Dorokhin at anna.d@pei.group.

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One of my go-to events each year due to the timely program content and excellent panelists.

Liz Staley
Leerink Capital Partners

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