



responsible
investor

RI USA 2022

6-7 December
New York

RI USA 2022

Convene 117, W 46th Street, New York
6-7 December 2022

The Responsible Investor USA conference will provide insight into how leading organisations in the public market are implementing ESG and responsible investment strategies that deliver value and sustainable outcomes in the US market.

Debate with sustainably focused investors on current trends that are shaping the regional and global landscape, including updates on the challenges and opportunities in the continued journey towards net-zero, climate risk strategies, new disclosure rules, and the rise of proxy voting and engagement, set against a contentious backdrop of political division and the rise of anti-ESG sentiments.

The event comes at a critical time, coming off the back of COP27 and the mid-term elections, and looking ahead to COP15 and the year 2023. It is a must-attend for ESG, sustainable finance and responsible investment professionals in North America.

Lead Sponsor

J.P.Morgan

Gold Sponsors

Amundi
ASSET MANAGEMENT

Silver Sponsors

MSCI 

ISS ESG 

 **ICE** DATA SERVICES™



S&P Global

 Sustainable
Fitch



responsible
investor

RI USA 2022

6-7 December
New York

MOODY'S

Bronze Sponsors



PERSEFONI



Verisk
Maplecroft™

ORTEC
OPTIMIZE YOUR WORLD

Nordea



2022 speakers

- Tobias Read, State Treasurer, State of Oregon
- Colleen Davis, State Treasurer, State of Delaware
- Marlene Timberlake D'Adamo, Chief Diversity, Equity and Inclusion Officer, CalPERS
- Pedro Guazo, Representative of the Secretary-General for the investment of assets, United Nations Joint Staff Pension Fund
- Ryan McQueeney, Analyst, Sustainable Investment Stewardship, Wespath Benefits and Investments
- Aeisha Mastagni, Portfolio Manager, Sustainable Investment & Stewardship Strategies, CalSTRS
- Kristina Wyatt, Deputy GC, SVP Global Regulatory Climate Disclosure, Persefoni, and Former Sr. Counsel for Climate and ESG, U.S. Securities and Exchange Commission (SEC)
- Gianna McCarthy, Director of Corporate Governance, Office of the New York State Comptroller
- Rob Schuwerk, Executive Director, North America, Carbon Tracker
- Heike Reichelt, Head of Investor Relations and Sustainable Finance, World Bank
- Andrew Neidhardt, Assistant Counsel, Office of the New York State Comptroller
- Matthew Illian, Director, Responsible Investing, United Church Funds
- Bennett Freeman, Associate Fellow, Chatham House and Former Senior Vice President, Calvert Investments
- Patrick O'Connell, EMIA's Chair of Private Sector Programs, Emerging Markets Investors Alliance
- Bertrand de Mazières, Director General for Finance, European Investment Bank

- Caroline Laberge, Director Sustainable Investing, CDPQ
- Samantha Hill, Managing Director, Sustainable Investing, CPP Investments
- Sam Jones, President & Co-Founder, Heartland Initiative
- Bella Tonkonogy, Director, Climate Policy Initiative
- Andrew Howell, Director of Investor Influence, Environmental Defense Fund (EDF)
- Lucy Fitzgeorge-Parker, Editor, Responsible Investor
- Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets, Ceres
- Robert Eccles, Professor, Saïd Business School - University of Oxford
- Diana Kearney, Senior Legal and Shareholder Advocacy Advisor, Oxfam
- Shahnawaz Malik, CFA, Head of ESG, Long-Term Stock Exchange (LTSE)
- Heidi Welsh, Founding Executive Director, Sustainable Investments Institute (Si2)
- Josh Zinner, CEO, Interfaith Center on Corporate Responsibility (ICCR)
- Christa Clapp, Co-Founder and Managing Partner, CICERO Shades of Green
- Martina Macpherson, Head of ESG Product Management, SIX
- Anita Dorett, Director, Investor Alliance for Human Rights
- Carly Jacobs, Senior Specialist, Stewardship, Active Ownership 2.0, UNPRI
- Danielle Fugere, President & Chief Counsel, As You Sow
- Annie Chor Joyce, Managing Director, Head of ESG, Amundi US
- John Willis, Director of Research, Planet Tracker
- Eric Pedersen, Head of Responsible Investments, Nordea
- Mirtha Kastropeli, Sector Head for Consumer in Corporate Ratings, ISS ESG
- Tony Campos, Head of Sustainable Investment, Americas, FTSE Russell
- Faheen Allibhoy, Managing Director & Head of J.P. Morgan's Development Finance Institution (DFI), J.P. Morgan
- Hana Heineken, Senior Attorney, Climate Financial Strategies, Center for International Environmental Law (CIEL)
- Jean-Xavier Hecker, Co-Head of ESG & Sustainability Research, J.P. Morgan
- Lisa Eichler, Managing Director Climate & ESG Solutions, Ortec Finance
- Patience Marime-Ball, Esq., Founder & CEO, Women of the World Endowment
- David Bennell, Strategic Advisor, Transformational Investing in Food Systems (TIFS)
- Dean Hand, Chief Research Officer, The Global Impact Investing Network (GIIN)
- Paul Verney, Senior Reporter, Responsible Investor
- Anna Totdahl, Investment Officer, ESG & Sustainability, Oregon State Treasury
- Isedua Oribhabor, Business and Human Rights Lead, Access Now
- Dave Jones, Director, Climate Risk Initiative, UC Berkeley School of Law's Center for Law, Energy & the Environment

- Cary Krosinsky, Co-Founder, Sustainable Finance Institute
- Paul Ellis, Host, Sustainable Finance Podcast
- Julie B. Tanner, Managing Director, Socially Responsible Investing, Catholic Responsible Investment Services (CBIS)
- Lisa Cox, Director, Catholic Responsible Investment Services
- Ruth Shaber, President & Founder, Tara Health Foundation
- Sarah Bryner, Director of Research and Strategy, OpenSecrets
- Lydia Harvey, Executive Director, ESG Specialist, J. P. Morgan
- Shelley Alpern, Director of Shareholder Advocacy, Rhia Ventures
- Michelle McCulloch, Head of ESG Ratings Americas, Sustainable Fitch
- Julia Giguere-Morello, Executive Director and Head of Americas Core ESG and Climate Research, MSCI
- Franca Wolf, Senior Analyst, Corporate Risk Analytics, Verisk Maplecroft
- Girish Narula, Head of Climate Transition Finance, ICE
- Mike Ilardi, Director of Business Development, The Climate Service
- Ivan Frishberg, Chief Sustainability Officer, Amalgamated Bank
- Florian Berg, Researcher, MIT Sloan School of Management
- Lindsay Ross, Director – Product Specialist, Moody's
- Drew Fryer, Manager, Methodology Innovation, S&P Global Sustainable1
- Maggie Dorn, Senior Director, Head of ESG Indices, North America, S&P DJI
- Sonja Gibbs, Board Member, ICVCM & Managing Director and Head of Sustainable Finance, Institute of International Finance
- Jan Ryzak, Company and Investor Engagement Manager, Ranking Digital Rights
- Sofia De La Parra, Senior ESG Analyst, FAIRR Initiative
- Elizabeth Seeger, Board Member, International Sustainability Standards Board (ISSB), IFRS Foundation
- Bob Dannhauser, Senior Advisor, Shift Project
- Curtis Ravenel, Founding Member, FSB TCFD Secretariat and Senior Advisor, Glasgow Financial Alliance for Net Zero (GFANZ)
- Nicholas Pfaff, Deputy CEO and Head of Sustainable Finance, International Capital Market Association (ICMA)
- Cristian Norambuena, Director, Private Equity, Tikehau Capital
- Marcus Painter, Ph.D, Assistant Professor of Finance, Richard A. Chaifetz School of Business, Saint Louis University
- Bhavika Vyas, Managing Director, StepStone
- Keri Larkin, Founding Member, Greater Share

- Jesse Duncan, Program Officer, The Tipping Point Fund
- Fran Seegull, President, U.S. Impact Investing Alliance
- Carlos Portugal Gouvêa, Associate Professor, University of São Paulo Law School and Visiting Professor, Harvard Law School
- Rick Alexander, CEO, The Shareholder Commons
- Jérôme Tagger, CEO, Preventable Surprises
- Michaela Edwards, Partner, Capricorn Investment Group and the Sustainable Investment Fund
- Corinne Boone, Group Head, Americas, AirCarbon
- Bruce Simpson, Senior Advisor ESG to multiple companies
- Carter Brandon, Senior Fellow, World Resources Institute (WRI)
- Pratima Divgi, Head of Capital Markets, CDP North America
- Campbell Moore, Carbon Markets Managing Director, The Nature Conservancy
- Vonda Brunsting, Program Manager, The Just Transition Project, Initiative for Responsible Investment, Harvard Kennedy School
- Amit Bando, Chief Economist and Senior Advisor for Just and Inclusive Economics, Ceres
- Julie Abrams, Senior Director, Investment Origination, NatureVest, The Nature Conservancy
- Jay Koh, Co-founder & Managing Director, The Lightsmith Group
- Stacy Swann, CEO & Founding Partner, Climate Finance Advisors, a member of WSP
- Liesel Van Ast, Manager, United Nations Environment Programme Finance Initiative (UNEP FI)
- Lisa Bozzelli, Senior Director, Multifamily Capital Markets, Fannie Mae
- Nick Autiello, Director of Impact, Investor Leadership Network (ILN)
- Alexandra Rogan, Director of Programs and Partnerships, Council for Inclusive Capitalism
- Joy Williams, Executive Director, Financial Institution Net-zero Transition Plans, Glasgow Financial Alliance for Net Zero (GFANZ)
- Ben Weisman, Secretariat Lead, Glasgow Financial Alliance for Net Zero (GFANZ)
- Ben Caldecott, Director, **Oxford Sustainable Finance Group** and the Lombard Odier Associate Professor of Sustainable Finance, **University of Oxford**

Day One – Tuesday 6th December

8:00 *Registration*

8:50 *Welcome remarks from Responsible Investor*

Lucy Fitzgeorge-Parker, Editor, **Responsible Investor**

9:00 **Keynote Address: Navigating the Evolving ESG Landscape**

Faheen Allibhoy, Managing Director & Head of J.P. Morgan's Development Finance Institution (DFI),
J.P. Morgan

- *What is the role of financial services in advancing the sustainability agenda; what are the key areas of focus for JP Morgan?*
- *How can private finance be mobilized to further investment in ESG, especially in the emerging markets?*
- *What roles do governments, policymakers and participants in the financial and capital markets play in furthering ESG and impact-focused investing?*

9:20 **Towards net zero: Marking the finance industry's scorecard**

- *Two years on from the first net zero pledges, is implementation matching expectations?*
- *Which parts of the financial sector are emerging as leaders and laggards on net zero?*
- *Is sufficient progress being made on setting near-term targets?*
- *Is there a danger that net zero commitments prevent necessary funding of company transitions and the retirement of dirty assets?*

Moderator: Lucy Fitzgeorge-Parker, Editor, **Responsible Investor**

Pedro Guazo, Representative of the Secretary-General for the investment of assets, **United Nations Joint Staff Pension Fund**

Andrew Howell, Director of Investor Influence, **Environmental Defense Fund (EDF)**

Drew Fryer, Manager, Methodology Innovation, **S&P Global Sustainable1**

Lindsay Ross, Director – Product Specialist, **Moody's**

Ben Weisman, Secretariat Lead, **Glasgow Financial Alliance for Net Zero (GFANZ)**

10:15 **Navigating the political backlash against ESG**

- *How big a threat is the anti-ESG backlash to progress in the US?*
- *Can ESG become a non-partisan topic? If so, how and where is the common ground?*
- *Has the Inflation Reduction Act helped to shift the political debate on climate?*

- *Given the politicization of ESG, should corporate political influence – particularly at the state level – come under greater scrutiny by investors?*

Moderator: Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets,
Ceres

Colleen Davis, State Treasurer, **State of Delaware**

Tobias Read, State Treasurer, **State of Oregon**

Robert Eccles, Professor, **Saïd Business School - University of Oxford**

Josh Zinner, CEO, **Interfaith Center on Corporate Responsibility (ICCR)**

11:10 *Networking break*

11.40 What will the SEC's climate disclosure rules mean for the financial sector?

- *Will the proposed climate disclosure rules meet the requirements of investors?*
- *Scope 3 emissions: mandatory requirement or laudable ambition?*
- *How will/should the SEC climate disclosure rules interact with the ISSB's standards?*
- *Human capital disclosure: what should the SEC consider as it updates this rule?*

Moderator: Marcus Painter, Ph.D, Assistant Professor of Finance, Richard A. Chaifetz School of Business, **Saint Louis University**

Aeisha Mastagni, Portfolio Manager, Sustainable Investment & Stewardship Strategies, **CalSTRS**

Rob Schuwerk, Executive Director, North America, **Carbon Tracker**

Julia Giguere-Morello, Executive Director and Head of Americas Core ESG and Climate Research,
MSCI

Curtis Ravenel, Founding Member, FSB TCFD Secretariat and Senior Advisor, **Glasgow Financial Alliance for Net Zero (GFANZ)**

Kristina Wyatt, Deputy GC, SVP Global Regulatory Climate Disclosure, **Persefoni**, and Former Sr. Counsel for Climate and ESG, **U.S. Securities and Exchange Commission (SEC)**

12:35 Integrating diversity, equity, and inclusion into investment decisions

- *How are asset owners collaborating with asset managers to institutionalize and codify diversity across their portfolios?*
- *Civil Rights Audits: who is using them, and how are they being used?*

- *Are corporates leading on social matters? Have investors been vocal enough?*
- *Are we paying as much attention to social washing as we are to greenwashing?*

Moderator: Mirtha Kastropeli, Sector Head for Consumer in Corporate Ratings, **ISS ESG**

Matthew Illian, Director, Responsible Investing, **United Church Funds**

Gianna McCarthy, Director of Corporate Governance, **New York State Office of the Comptroller**

Patience Marime-Ball, Esq., Founder & CEO, **Women of the World Endowment**

Marlene Timberlake D'Adamo, Chief Diversity, Equity and Inclusion Officer, **CalPERS**

1:20 Lunch

Stream A <i>Climate risk</i>	Stream B <i>Data, ratings and reporting</i>	Stream C <i>Banking and capital markets</i>
<p>2:30 – Assessing corporate transition plans</p> <ul style="list-style-type: none"> • <i>How are investors assessing corporate transition plans? What are the hallmarks of credibility?</i> • <i>Should investors benchmark climate strategies of listed companies against other firms in the same sectors?</i> • <i>Should strategies be confirmed as science-based before they are endorsed by investors?</i> • <i>Are net zero pledges useful or do they</i> 	<p>2:30 – Exploring the global disclosure landscape</p> <ul style="list-style-type: none"> • <i>What are the strengths and weaknesses of the US climate disclosure rules compared with those being developed in the EU?</i> • <i>Interoperable or insoluble: how will the ISSB, CSRD and SEC rules interact?</i> • <i>What is the difference between an enterprise value approach and a ‘double materiality’ one in practice?</i> • <i>Will the developing disclosure landscape</i> 	<p>2:30 – Growth and maturity of ESG and the labelled bond market</p> <ul style="list-style-type: none"> • <i>What has a year of rising interest rates and other external pressures taught us about the ESG and the labelled bond market?</i> • <i>How can investors, regulators and issuers ensure the quality of labelled debt as fears of greenwashing grow?</i> • <i>How are municipal issuers looking at ESG and the labelled bond market? Are</i>



responsible investor

RI USA 2022

6-7 December
New York

<p><i>give a false sense of progress?</i></p> <p>Moderator: Bruce Simpson, Senior Advisor ESG to multiple companies Eric Pedersen, Head of Responsible Investments, Nordea Samantha Hill, Managing Director, Sustainable Investing, CPP Investments Vonda Brunsting, Program Manager, The Just Transition Project, Initiative for Responsible Investment, Harvard Kennedy School</p>	<p><i>reduce the reporting burden on firms?</i></p> <p>Moderator: Paul Ellis, Host, Sustainable Finance Podcast Martina Macpherson, Head of ESG Product Management, SIX Jean-Xavier Hecker, Co-Head of ESG & Sustainability Research, J.P. Morgan Bank Elizabeth Seeger, Board Member, International Sustainability Standards Board (ISSB), IFRS Foundation</p>	<p><i>municipal bonds suffering from a lack of third-party assurance?</i></p> <ul style="list-style-type: none"> <i>How do we measure and evaluate the ambition of Sustainability-Linked Bonds (SLBs)?</i> <p>Moderator: Lucy Fitzgeorge-Parker, Editor, Responsible Investor Heike Reichelt, Head of Investor Relations and Sustainable Finance, World Bank Lisa Bozzelli, Senior Director, Multifamily Capital Markets, Fannie Mae Christa Clapp, Co-Founder and Managing Partner, CICERO Shades of Green Nicholas Pfaff, Deputy CEO and Head of Sustainable Finance, International Capital Market Association (ICMA)</p>
<p>3:15 Networking break</p>		
<p>3:30 – Managing physical and transition risk to portfolios</p> <ul style="list-style-type: none"> <i>Which is more challenging to assess</i> 	<p>3:30 – The ESG ratings debate</p> <ul style="list-style-type: none"> <i>Sustainability indices: understanding inherent</i> 	<p>3:30 – Banking on net zero</p> <ul style="list-style-type: none"> <i>Is it realistic to expect banks to take the lead</i>



in the context of portfolios, physical or transition risk?

- *How do longer investment horizons affect the materiality of climate-related risks?*
- *How good is corporate disclosure on location of significant assets? How does this impact assessment of physical risk exposure?*
- *How will transition risks materialise, suddenly or gradually? How can investors prepare for them / model them?*

Moderator: Marcus Painter, Ph.D, Assistant Professor of Finance, Richard A. Chaifetz School of Business, **Saint Louis University**
 Dave Jones, Director, Climate Risk Initiative, **UC Berkeley School of Law's Center for Law, Energy & the Environment**
 Lisa Eichler, Managing Director Climate & ESG Solutions, **Ortec Finance**

contradictions and loopholes

- *Is there a case for mandatory disaggregation of ESG ratings into their E, S and G components?*
- *Should corporate political activity be part of ESG ratings assessments?*
- *What would effective ESG ratings regulation look like?*

Moderator: Florian Berg, Researcher, **MIT Sloan School of Management**
 Shahnawaz Malik, CFA, Head of ESG, **Long-Term Stock Exchange (LTSE)**
 Tony Campos, Head of Sustainable Investment, Americas, **FTSE Russell**
 Michelle McCulloch, Head of ESG Ratings Americas, **Sustainable Fitch**
 Maggie Dorn, Senior Director, Head of ESG Indices, North America, **S&P DJI**

on ending fossil fuel funding?

- *How should investors assess banks' net zero strategies and methodologies?*
- *How can investors encourage US banks to make more meaningful progress on net zero commitments?*
- *What food for thought can be derived from the EU experience?*

Moderator: Pratima Divgi, Head of Capital Markets, **CDP North America**
 Danielle Fugere, President & Chief Counsel, **As You Sow**
 Bertrand de Mazières, Director General for Finance, **European Investment Bank**
 Ivan Frishberg, Chief Sustainability Officer, **Amalgamated Bank**



responsible investor

RI USA 2022

6-7 December
New York

<p>Girish Narula, Head of Climate Transition Finance, ICE Mike Ilardi, Director of Business Development, The Climate Service</p>		
<p>4:15 Networking break</p>		
<p>4:30 – Adaptation finance: challenges and opportunities</p> <ul style="list-style-type: none"> • <i>Has the focus on mitigation and climate risk led to investors overlooking the need for adaptation finance?</i> • <i>What opportunities does adaptation finance present for investors?</i> • <i>What are the challenges for the private sector of financing adaptation?</i> • <i>How can we encourage adaptation without it seeming defeatist?</i> <p>Moderator: Stacy Swann, CEO & Founding Partner, Climate Finance Advisors, a member of WSP</p>	<p>4:30 – The future of ESG data</p> <ul style="list-style-type: none"> • <i>What role should policymakers and service providers each play in increasing the quality, availability and comparability of ESG data?</i> • <i>Working with third-party data companies: what are the best practices for asset managers?</i> • <i>What are the key challenges in obtaining and analyzing supply chain data?</i> • <i>Which new data sources and technology are potentially most useful for investors?</i> <p>Moderator: Lucy Fitzgeorge-Parker, Editor, Responsible Investor Franca Wolf, Senior Analyst, Corporate Risk Analytics, Verisk Maplecroft Lydia Harvey, Executive Director, ESG Specialist, J. P. Morgan</p>	<p>4:30 – Voluntary carbon markets and the energy transition</p> <ul style="list-style-type: none"> • <i>What role should offsetting play in corporate transition plans?</i> • <i>Is the VCM on track to meet corporate demand for carbon credits?</i> • <i>What actions can investors and other actors take to shape the trajectory of the VCM?</i> • <i>What is the outlook for the VCM over the next 10 years?</i> <p>Moderator: Campbell Moore, Carbon Markets Managing Director, The Nature Conservancy Sonja Gibbs, Board Member, ICVCM & Managing Director and Head of Sustainable Finance, Institute of International Finance</p>



responsible
investor

RI USA 2022

6-7 December
New York

<p>Bella Tonkonogy, Director, Climate Policy Initiative</p> <p>Carter Brandon, Senior Fellow, World Resources Institute (WRI)</p> <p>Jay Koh, Co-founder & Managing Director, The Lightsmith Group</p>	<p>Jessie Duncan, Program Officer, The Tipping Point Fund</p>	<p>Corinne Boone, Group Head, Americas, AirCarbon</p> <p>Ben Caldecott, Director, Oxford Sustainable Finance Group and the Lombard Odier Associate Professor of Sustainable Finance, University of Oxford</p>
---	--	--

5:15 *Networking drinks reception*

6:30 *End of conference*

Day Two – Wednesday 7th December

8:15 Registration & coffee

Stream A <i>Social & political issues</i>	Stream B <i>Stewardship & engagement</i>	Stream C <i>Investment frontiers</i>
<p>9:00 – Intersections between the corporate and political spheres</p> <ul style="list-style-type: none"> <i>How are companies to respond to a political agenda which is incongruent with their own values on DEI and climate change?</i> <i>Tracking corporate political spending and lobbying: how can we</i> 	<p>9:00 – Deep dive workshop: Innovations in investment stewardship practices</p> <ul style="list-style-type: none"> <i>How do you structure engagement?</i> <i>What are the key escalation points and when do you utilise them?</i> 	<p>9:00 – How should investors allocate more to emerging markets?</p> <ul style="list-style-type: none"> <i>What role can investors most usefully play in promoting energy transition and the achievement of the SDGs in EM?</i>



<p><i>acquire more data on corporate political influence?</i></p> <ul style="list-style-type: none"> • <i>With only half of US states mandating company lobbying disclosure, how can we encourage voluntary disclosure?</i> • <i>Court rulings: what are the associated risks with companies getting involved in matters such as reproductive healthcare rights?</i> <p>Moderator: Jérôme Tagger, CEO, Preventable Surprises Heidi Welsh, Founding Executive Director, Sustainable Investments Institute (Si2) Sarah Bryner, Director of Research and Strategy, OpenSecrets Shelley Alpern, Director of Shareholder Advocacy, Rhia Ventures Bennett Freeman, Associate Fellow, Chatham House and Former SVP, Sustainability Research and Policy, Calvert Investments</p>	<ul style="list-style-type: none"> • <i>How do you monitor and hold investment managers to account for their engagement activities?</i> • <i>When is the right time to vote against directors?</i> <p>Workshop chair: Sofia De La Parra, Senior ESG Analyst, FAIRR Initiative David Bennell, Strategic Advisor, Transformational Investing in Food Systems (TIFS) Ruth Shaber, President & Founder, Tara Health Foundation Carly Jacobs, Senior Specialist, Stewardship, Active Ownership 2.0, UNPRI</p>	<ul style="list-style-type: none"> • <i>Does increasing ESG integration risk deterring capital flows to EM?</i> • <i>Can blended finance help alleviate political and currency risk in EM investments?</i> • <i>What else can policymakers do to ensure investment goes to the regions and sectors that need it most?</i> <p>Moderator: Lucy Fitzgeorge-Parker, Editor, Responsible Investor Patrick O'Connell, EMIA's Chair of Private Sector Programs, Emerging Markets Investors Alliance Andrew Howell, Director of Investor Influence, Environmental Defense Fund (EDF) Nick Autiello, Director of Impact, Investor Leadership Network (ILN)</p>
9:45 Networking break		
<p>10:00 – How can responsible investors take on Big Tech?</p> <ul style="list-style-type: none"> • <i>Is Big Tech's prominence in ESG</i> 	<p>10:00 – A look ahead to the 2023 proxy season</p>	<p>10:00 – Trends in ESG litigation</p>



indices appropriate given the sector's social impact and lack of accountability?

- *How valuable was Meta's human rights audit and has it sent a precedent for the sector?*
- *What tools can investors use to influence companies with dual class share structures?*
- *Are investors doing enough to address Big Tech's global impact?*

Moderator: Lucy Fitzgeorge-Parker, Editor, **Responsible Investor**

Sam Jones, President and Co-founder, **Heartland Initiative**
Isedua Oribhabor, Business and Human Rights Lead, **Access Now**

Lisa Cox, Director, **Christian Brothers Investment Services (CBIS)**

Jan Rydzak, Company and Investor Engagement Manager, **Ranking Digital Rights**

- *With the number of shareholder proposals expanding massively, what's going to happen moving forward?*
- *Can we expect to see a trend towards director voting in the US as we have in Europe?*
- *Is it appropriate for a shareholder proposal to ask for action or should disclosure be the focus?*
- *Will the new climate disclosure rules reduce the number of shareholder proposals asking for climate disclosure and transition plans?*

Moderator: Paul Verney, Senior Reporter, **Responsible Investor**
Ryan McQueeney, Analyst, Sustainable Investment Stewardship, **Wespath Benefits and Investments**

Diana Kearney, Senior Legal and Shareholder Advocacy Advisor, **Oxfam**

Caroline Laberge, Director Sustainable Investing, **CDPQ**

- *Is ESG issues-based litigation undermining the growth of sustainable finance or making it more robust?*
- *How are investors using litigation as an active ownership tool?*
- *Which areas of litigation pose the biggest risks for investors in 2023?*
- *What role is human rights litigation starting to play, particularly after Covid, Me Too, and BLM put the spotlight on the 'S' in ESG?*
- *What trends are being seen in insurance companies now that many are being required to cover litigation and ESG law suit damage costs?*

Moderator: Carlos Portugal Gouvêa, Associate Professor, **University of São Paulo Law School** and



responsible
investor

RI USA 2022

6-7 December
New York

		<p>Visiting Professor, Harvard Law School Hana Heineken, Senior Attorney, Climate Financial Strategies, Center for International Environmental Law (CIEL) Andrew Neidhardt, Assistant Counsel, Office of the New York State Comptroller</p>
<p>10:45 Networking break</p>		
<p>11:15 – Applying the Just Transition to an investment strategy</p> <ul style="list-style-type: none"> • <i>What does Just Transition mean in a US context?</i> • <i>What can investors do to address the social issues raised by corporate transition plans?</i> • <i>What role can and should the financial sector play in ensuring a Just Transition?</i> • <i>Will there be opportunities associated with the Just Transition, or only costs?</i> <p>Moderator: Amit Bando, Chief Economist and Senior Advisor</p>	<p>11:15 – Engaging with big emitters: what can we learn from the Exxon campaign?</p> <ul style="list-style-type: none"> • <i>Is Engine No 1’s successful campaign the benchmark for meaningful engagement with the energy sector?</i> • <i>Is focusing on directors the most effective way to challenge companies on climate change?</i> • <i>What are the limits of corporate engagement? How do you know if an ask is reasonable?</i> • <i>Have the board changes at Exxon achieved meaningful change?</i> 	<p>11:15 The growth and evolution of impact investing</p> <ul style="list-style-type: none"> • <i>How has the field grown and evolved over the past decade?</i> • <i>What does it mean to invest with impact intentionality?</i> • <i>What are the implications for the field of the global sustainability disclosure agenda for corporations and fund managers?</i> • <i>How can impact investors continue to scale the field</i>



responsible investor

RI USA 2022

6-7 December
New York

<p>for Just and Inclusive Economics, Ceres Anita Dorett, Director, Investor Alliance for Human Rights Bob Dannhauser, Senior Advisor, Shift Project Alexandra Rogan, Director of Programs and Partnerships, Council for Inclusive Capitalism</p>	<p>Moderator: Bennett Freeman, Associate Fellow, Chatham House and Former SVP, Sustainability Research and Policy, Calvert Investments Julie B. Tanner, Director, Socially Responsible Investing, Christian Brothers Investment Services (CBIS) Aeisha Mastagni, Portfolio Manager, Sustainable Investment & Stewardship Strategies, CalSTRS Bruce Simpson, Senior Advisor ESG to multiple companies</p>	<p><i>with integrity, especially in a dynamic and challenging macroeconomic environment?</i></p> <p>Moderator: Fran Seegull, President, U.S. Impact Investing Alliance Cristian Norambuena, Director, Private Equity, Tikehau Capital Bhavika Vyas, Managing Director, StepStone Keri Larkin, Founding Member, Greater Share Michaela Edwards, Partner, Capricorn Investment Group and the Sustainable Investment Fund</p>
--	--	--

12:00 Lunch

1:00 Investor panel: balancing tomorrow's world with today's duty and returns

- *The Department of Labor said last year that there is 'no reason' fiduciaries shouldn't consider climate or ESG factors when investing. Has this shift affected investor perspectives on fiduciary duty?*
- *Are US asset owners pushing managers on sustainability?*
- *What evidence do asset owners look for on managers' credibility on ESG? How is it affecting manager selection`?*
- *Are investors willing to sacrifice initial returns for long-term sustainability performance?*

Moderator: Dean Hand, Chief Research Officer, **The Global Impact Investing Network (GIIN)**
Anna Totdahl, Investment Officer, ESG & Sustainability, **Oregon State Treasury**
Annie Chor Joyce, Managing Director, Head of ESG, **Amundi US**

Fran Seegull, President, **U.S. Impact Investing Alliance**

Rick Alexander, CEO, **The Shareholder Commons**

1:50 Biodiversity and nature: risks, opportunities, and best practices

- *Are financial institutions taking transition risk sufficiently seriously in the context of biodiversity?*
- *Where are the investible opportunities for public markets investors in nature protection and restoration?*
- *Can aggregation help to address scale issues in natural capital investment?*
- *Expectations for COP15: will it do for biodiversity what Paris did for climate?*

Moderator: Jérôme Tagger, CEO, **Preventable Surprises**

Franca Wolf, Senior Analyst, Corporate Risk Analytics, **Verisk Maplecroft**

Julie Abrams, Senior Director, Investment Origination, NatureVest, **The Nature Conservancy**

Liesel Van Ast, Manager, **United Nations Environment Programme Finance Initiative (UNEP FI)**

Bella Tonkonogy, Director, **Climate Policy Initiative**

Joy Williams, Executive Director, Financial Institution Net-zero Transition Plans, **Glasgow Financial Alliance for Net Zero (GFANZ)**

2:45 COP27: where do we go from here?

- *What were the key takeaways from COP27 for the private sector?*
- *Is there a role for investors in addressing loss and damage?*
- *What will be the main themes in climate investment over the coming year?*
- *How important will COP28 in Dubai be for financial institutions?*

Moderator: Lucy Fitzgeorge-Parker, Editor, **Responsible Investor**

Martina Macpherson, Head of ESG Product Management, **SIX**

Ryan McQueeney, Analyst, Sustainable Investment Stewardship, **Wespath Benefits and Investments**

Jay Koh, Co-founder & Managing Director, **The Lightsmith Group**

Nicholas Pfaff, Deputy CEO and Head of Sustainable Finance, **International Capital Market Association (ICMA)**

Nick Autiello, Director of Impact, **Investor Leadership Network (ILN)**



responsible
investor

RI USA 2022

6-7 December
New York

3:40 *Chair's closing remarks*

3:50 *End of conference*