

# CFOs & COOs Spring Roundup 2022

March 24  
Westin Times Square, New York

## CFOs & COOs Spring Roundup 2022 Agenda

### March 24, 2022

**8:15** Registration & breakfast

**8:55** Opening remarks

### **9:00** Striking a balance between strategic firm development and managing operations

- Ensuring firm strategy corresponds with evolving PE trends
- How the CFO and COO roles continue to impact front office functions
- Recognizing when to delegate tasks to focus on emerging firm initiatives
- Tax alert: what is expected in 2022 and how are CFOs involved in the process?
- Examining what tools CFOs are utilizing to assist with task management
- Best practices for optimal engagement with portfolio companies

## Roundtable rotation and networking schedule

9:45-10:15 <b>Rotation 1</b>	10:15-10:45 <b>Rotation 2</b>	10:45-11:15 <b>Rotation 3</b>	11:15-11:45 <b>Networking break</b>	11:45-12:15 <b>Rotation 4</b>	12:15-12:45 <b>Rotation 5</b>	12:45-1:15 <b>Rotation 6</b>
1:15-2:15 <b>Networking lunch break</b>	2:15-2:45 <b>Rotation 7</b>	2:45-3:15 <b>Rotation 8</b>	3:15-3:45 <b>Rotation 9</b>	3:45-4:00 <b>Networking break</b>	4:00-4:30 <b>Rotation 10</b>	

## Roundtable discussions 1-5

### ROUNDTABLE 1

#### Identifying opportunities to bolster operational efficiencies within the firm

- Implementing technology to create efficiencies and advance firm initiatives
- Reevaluating in-office, remote and hybrid work arrangement requirements and policies
- Providing professional development training for senior and junior level employees
- Spearheading internal communication efforts among teams

### ROUNDTABLE 2

#### Has fundraising forever been changed?

- How are firms exploring various fundraising vehicles and opportunities (i.e., alternative asset classes, emerging markets, distribution calls)?
- Executing due diligence throughout the fundraising process
- Maximizing the relationship between the deal and finance teams
- Establishing communication methods and frequency that fulfills LP/GP needs

### ROUNDTABLE 3

#### Risk mitigation strategies to protect the firm

- Understanding potential risks impacting PE
- Maintaining consistency in firmwide due diligence efforts
- Assessing fees and expenses to provide investors with accurate information
- Decreasing operational, data, valuation, performance and tax risks
- When to enlist assistance from third-party risk advisors

### ROUNDTABLE 4

#### Developing a sustainable ESG program to meet investor and firm objectives

- Are firms equipped to oversee increasing ESG efforts?
- Standardizing metrics to highlight portfolio company ESG efforts
- Meeting LP ESG expectations
- Establishing non-bias in the development of DEI initiatives at firms and portfolio companies
- Looking ahead: the implications and effects of the SEC's approach to standardize ESG
- How are firms evaluating their carbon emissions initiatives, protocols and policies

### ROUNDTABLE 5

#### Sourcing and developing a talented team in a competitive landscape

- Developing a hiring strategy for back and middle office talent
- Fighting against the great resignation: how are firms managing satisfaction amongst current employees?
- Beyond the basics: what skills are valued and necessary to develop a sustainable team?
- Reviewing salaries and compensation plans to match an evolving market
- Fostering a collaborative work/team culture to drive success
- Expanding resources to aid in candidate sourcing and recruitment
- Accessing and attracting diverse talent in PE



Learn more at:

[peievents.com/en/event/cfos-coos-spring-roundup/](https://peievents.com/en/event/cfos-coos-spring-roundup/)

## Roundtable discussions 6-10

### ROUNDTABLE 6

#### Promoting productive and collaborative relationships with outsourced partners

- Determining when to outsource functions
- Forming realistic expectations and strategic plans with your service providers
- Navigating third-party service provider turnover and its effect on deliverables
- Establishing a process to receive real-time access to service provider data
- What should firms be asking service providers during the due diligence process?

### ROUNDTABLE 7

#### Extracting and maintaining the truth in data to drive decision making

- Practices for consolidating and organizing data
- Ongoing efforts for translating quantitative data to qualitative data
- Determining who should be granted access to raw data?
- Are firms expanding their staff to help manage and analyze data?
- Migrating data over to advanced platforms to assist with complex calculations
- Increasing automation to aid in the data collection process
- Uncovering findings in historical and present data to drive strategic decisions

### ROUNDTABLE 8

#### Navigating the investor reporting process to meet increasing demands

- Approaches to fulfilling individual LP reporting and data requests
- How are firms outlining the costs covered by management fees vs. additional administrative expenses?
- SEC predictions: what's on the horizon from the SEC for new reporting standards?
- Generating comprehensible investor reports
- Addressing privacy concerns related to granting multiple parties access to reports
- Methods for gathering portfolio company performance data
- Tools for generating reports in a timely manner

### ROUNDTABLE 9

#### Enhancing firm cybersecurity protocols to tackle emerging attacks

- Staying abreast of malware and ransomware attack methods putting firms at risk
- Protecting the firm with a cyber insurance policy
- Reviewing methods to securely store sensitive information
- Assessing cyber threats when collaborating with external parties or vendors
- How often should penetration tests be conducted to protect firms against relevant threats?

### ROUNDTABLE 10

#### Updating compliance programs in response to regulatory developments

- Effective strategies for enhancing your firm's compliance program
- Can PE prepare for new SEC guidelines by observing other financial industries (i.e., public markets, banking)?
- Providing staff training to increase compliance risk awareness
- Making an investment in compliance tools for the firm
- Managing the changes set forth by consumer data privacy laws

## 4:30 Keynote interview

## 5:00 Cocktail reception and end of roundup



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