

## Effective strategies for fine-tuning compliance programs in an increasingly complex private equity environment

A sample of whom you will meet this May. Past attendees included:

- Managing Director and General Counsel,
   Abbott Capital Management LLC
- Principal, ABS Capital Partners
- Senior Compliance Officer, ACON Investments
- Chief Compliance Officer, Acorn Growth Companies, LLC
- Senior Manager, Advent International Corporation
- Chief Compliance Officer, Alcion Ventures, L.P.
- Vice President, Alinda Capital Partners
- General Counsel, Alvarez & Marsal
- Chief Financial Officer, Am Fam Ventures
- Head of Compliance, APAX Partners
- Senior Compliance Officer, Apax Partners LP
- Chief Financial Officer and Chief Compliance Officer, Aquiline Capital Partners
- Chief Compliance Officer and Vice President
   Investor Reporting, Argosy Capital
- Executive Director, Ascendant
  - Compliance Management
- Senior Compliance Counsel and Fund Counsel, Audax Group
- Senior Funds Counsel, Baring Vostok Capital Partners
- Chief Compliance Officer, BC Partners
- Chief Compliance Officer, Beacon Capital Partners
- Vice President of Compliance,
   Berkshire Partners LLC
- Associate Counsel, Bessemer Venture Partners
- Analyst, Bregal Investments

- Chief Compliance Officer, Bridge Investment Group
- Director of Compliance, Bridge Investment Group
- Chief Compliance Officer, Bridge Investment Group Partners
- CCO and Managing Director, Brightstar Capital
- Director of Compliance, Brookfield
   Asset Management
- Chief Compliance Officer, Cabot Properties
- CFO/CCO, Calvert Street Capital Partners
- General Counsel, CCMP Capital
- Chief Financial Officer, Centre Partners Management LLC
- Controller, Centre Partners Management, LLC
- General Counsel, Certares Management LLC
- Chief Compliance Officer and Associate General Counsel, Cove Hill Partners
- Chief Compliance Officer, Cressey & Company
- Chief Compliance Officer, DivcoWest
- Compliance Counsel, Evergreen Coast Capital
- Chief Compliance Officer, Frontier Capital
- Chief Financial Officer, Gauge Capital
- Compliance Officer, General Atlantic
- Compliance Officer, General Atlantic LLC
- Senior Vice President, Chief Compliance Officer and Associate General Counsel, General Atlantic LLC
- Chief Financial and Compliance Officer,
  - **Gennx360 Capital Partners**
- Chief Compliance Officer & VP, Accounting,
   Glade Brook Capital Partners



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- VP, Accounting & Compliance, Glade Brook Capital Partners LLC
- Counsel, Glenmede
- Senior Compliance Officer, Global Infrastructure Partners
- Chief Compliance Officer, Golden Gate Capital
- Chief Compliance Officer, GoldPoint Partners LLC
- Chief Compliance Officer, Grain Management, LLC
- Compliance Manager, Great Hill Partners
- Director, Greystar
- CCO, Greystar Real Estate Partners
- Principal Private Equity, GTCR
- Principal, Associate General Counsel and Chief Compliance Officer, GTCR
- Managing Director, Chief Financial Officer and Chief Compliance Officer, GTIS Partners
- Chief Compliance Officer, Hancock Capital Investment Management, LLC
- IP Counsel, Chief Compliance Officer and Principal, HealthCare Royalty Partners
- Chief Corporate Counsel, Hillwood
- Director Deputy Chief Compliance Officer, Hudson Advisors L.P
- Chief Compliance Officer, Impresa Aerospace LLC
- Compliance Manager, Iron Point Partners
- General Counsel/CCO, Iron Point Partners
- Managing Director and Chief Compliance Officer, J.C. Flowers & Co
- Managing Director and Chief Compliance Officer, J.C. Flowers & Co LLC
- Chief Compliance Officer, Jamestown
- Vice President Corporate Accounting, Jamestown LP
- Chief Compliance Officer & Treasurer, Kainos Capital
- Chief Financial Officer, Kainos Capital LLC
- Partner, Kirkland & Ellis LLP

- General Counsel & Chief Compliance Officer, Kohlberg & Company
- General Counsel & Chief Compliance
   Officer, Kohlberg & Company LLC
- Counsel and Chief Compliance Officer, LaSalle Investment Management
- Dir and Head of Investment Adviser
   Compliance, Leerink Capital
- Chief Compliance Officer, Leerink
   Transformation Partners
- Legal Counsel Compliance, Levine Leichtman Capital Partners
- General Counsel and Chief Compliance
   Officer, Levine Leichtman Capital Partners
- Legal Counsel Compliance, Levine Leichtman Capital Partners
- Vice President, Legal and Compliance
   Officer, Lexington Partners
- Principal, Lovell Minnick Partners
- Associate, LS Power
- Compliance, Madison Dearborn Partners LLC
- Director Business Development, MaplesFS
- Senior Vice President and Regional Director- Fund Custody Solutions, Millennium Trust Company
- Chief Compliance Officer, Mountain
   Capital Management, LLC
- Senior Vice President, MPMF Fund Management
- Compliance Officer, New Mountain Capital
- Controller, NewSpring Capital
- Chief Financial Officer, Northstar Capital
- Director of Compliance and Risk Management, Northwest Equity Partners
- CCO & VP, Finance, Omega Funds
- Counsel Regulatory and Compliance, Onex
- Director Finance, Onex
- Managing Director, Ottawa Avenue Private Capital
- General Counsel and Chief Compliance
  Officer, Palladium Equity Partners

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- Associate General Counsel and Deputy Chief Compliance Officer, Palladium Equity Partners, LLC
- Compliance Manager, PCCP LLC
- Chief Operating Officer, Performance Equity Management
- Manager, PGIM Private Capital
- Chief Compliance Officer, PointState Capital LP
- Assistant General Counsel, Pritzker Group
- Chief Compliance Officer, Private Advisors
- Associate General Counsel,

## **Providence Equity Partners LLC**

- Chief Compliance Officer, Related
   Fund Management
- Vice President, River Associates Investments, LP
- Chief Compliance Officer, Riverstone Holdings LLC
- Chief Compliance Officer, Rockwood Capital, LLC
- CFO & CCO, Roundtable Healthcare Partners
- Principal, Safanad (Dubai) Limited
- Chief Financial Officer, Satori Capital, LLC
- Chief Compliance Officer, Schroder Adveg
- Chief Financial Officer, SDC Capital Partners
- Director of Legal, Sequoia Heritage
- Chief Financial and Compliance Officer, SFW Capital Partners
- Chief Administrative Officer, Shoreview Industries
- Chief Compliance Officer, Shoreview Industries
- Chief Compliance Officer and Director -Litigation & Regulatory Affairs, Silver Lake
- Senior Compliance Officer and Legal Counsel, Siris
- General Counsel and Chief Compliance Officer, Siris Capital Group, LLC
- Managing Partner, Spring Lake Equity Partners

- General Counsel, Sprott USA
- Chief Compliance Officer, Starr Investment Holdings LLC
- Managing Director, COO, GC and CCO, Sterling Partners
- Senior Compliance Officer, Stonepeak
   Infrastructure Partners
- Senior Compliance Officer, Stonepeak Partners
- General Counsel, Stonepeak Partners
- Deputy General Counsel, Stonepeak Partners
- Director, Sun Life Investment Management
- General Partner, TCV
- General Counsel, The Pritzker Group
- Compliance Analyst, Thomas H Lee Partners
- General Counsel, TPG Real Estate Partners
- General Counsel, Trilantic Capital Partners
- Senior Associate, USAA Real Estate
- Chief Compliance Officer, Vector Capital
- Senior Vice President, Warburg Pincus LLC
- Compliance Officer, Washington
   State Investment Board
- Counsel, WaterEquity
- Vice President, Waterton
- CFO & Chief Compliance Officer,
   WestView Capital Partners
- Chief Compliance Officer and Deputy General Counsel, White Oak Global Advisors
- Chief Compliance Officer, Whitetail Rock Capital Management LLC
- Chief Compliance Officer and Controller, Yukon Partners