

Private Fund Compliance Spring Forum

Virtual Experience 2021

Preliminary Agenda*

Day 1: May 12

- 11:00 **PEI welcome**
- 11:25 **Opening Keynote Interview | Risk alert: conflicts of interest, fees and expenses, & code of ethics rules**
- 12:00 **Panel Discussion | Biden administration: considerations for private fund compliance managers**
- 12:50 **Networking break**

1:10 **Breakout session I**

BREAKOUT SESSION A

COVID 19: lessons learned in managing risk

BREAKOUT SESSION B

Diversity & ESG: compliance procedures for implementation & oversight

1:45 **Lunch networking break**

2:45 **Panel Discussion | Cybersecurity: key considerations for a remote workforce & mitigating the impact of a cyber event**

3:35 **Breakout session II**

BREAKOUT SESSION A

Advertising & solicitation: what you need to know about the new marketing rule for investment advisers

BREAKOUT SESSION B

Fund obligation management: strategies for streamlining operations

4:10 **Networking break**

4:30 **CCO & GC Only Think Tank Session**

Closed-door session to meet with peers in the industry to discuss the most pressing challenges having an impact on their role and responsibilities

5:30 **Close of day one**

Private Fund Compliance Spring Forum

Virtual Experience 2021

Agenda Day 2: May 13

- 10:45 **Women's networking roundtable**
- 11:20 **PEI welcome**
- 11:30 **Plenary session - An off the record opening panel on SEC examinations**
- 12:20 **Networking break**

12:40 **Breakout sessions III**

BREAKOUT SESSION A

Conditional exemption for finders: the potential impact

BREAKOUT SESSION B

Key antitrust issues for private equity: proposed HSR rule changes

- 1:15 **Lunch break**

2:15 **Breakout sessions IV**

BREAKOUT SESSION A

The CCO/CFO/COO: unique challenges for those wearing many hats

BREAKOUT SESSION B

Moving from single to multiple strategies: cost-efficient approaches for dealing with the expanding compliance function

- 2:45 **Close of day two**

*Agenda is subject to change from the event organizers



For more information, visit:
www.peievents.com/en/event/private-fund-compliance-spring-forum/