

Responsible Investment Forum: New York

Virtual Experience 2021

March 3rd & 4th | Preliminary Agenda

March 3rd | Day 1

9:00am **LP Think Tank (Invitation-only)**

CLOSED DOOR | OFF THE RECORD

- How have ESG-related diligence efforts evolved or transformed because of COVID-19? Are you evaluating managers differently?
- Where is your organization looking to “do more” or “go deeper” with respect to ESG in 2021? Asked a different way: What’s next on your ESG roadmap?
- Where do you see opportunities for standardization or further clarification of best practice? What KPI’s / metrics are you collecting and reporting on at the PE portfolio level?

MODERATOR:

Matthew D. Schey, Senior Director, **Strategic Projects, Institutional Limited Partners Association (ILPA)**

9:00am **GP Think Tank (Invitation-only)**

CLOSED DOOR | OFF THE RECORD

- How detailed should an ESG policy be?
- How do you use your policy to drive ESG integration across your portfolio?
- Where have you had the most success driving ESG integration across your portfolio?
- What tools are you using to monitor ESG performance across your portfolio?
- What KPIs are you measuring?
- Are you able to collect ESG data from all of your portfolio companies?
- What ESG initiatives are your portfolio companies working on?
- Are you committing to a net zero portfolio?
- How are you measuring greenhouse gas emissions across your portfolio?
- How detailed should an ESG report be?

MODERATOR:

Alan Kao, Global Director, **ESG Services, Ramboll**

9:55am **PEI Welcome & Chairwoman’s Opening Remarks - Pre-recorded**

PEI:

Seth Kerker, Director of Events - Americas, **PEI**

CHAIRWOMAN:

Carol Jeppesen, CAIA, **Head of US, Principles for Responsible Investment (PRI)**

10:15am **Keynote fireside chat**

MODERATOR:

Jordan Stutts, Senior Writer for Private Markets, **PEI Media**

KEYNOTE SPEAKER:

Chavon Sutton, Senior Investment Director, **Sustainable & Impact Investing Research, Cambridge Associates**

11:00am **Keynote CIO Leadership Discussion**

MODERATOR:

Jordan Stutts, Senior Writer for Private Markets, **PEI Media**

KEYNOTE SPEAKER:

Angela Miller-May, Chief Investment Officer, **Chicago Teachers’ Pension Fund**

11:40am **Morning Networking Break**

12:05pm **Delivering social impact in the healthcare sector**

- In the wake of the coronavirus crisis, what do you consider to be the greatest ESG challenge facing the healthcare sector today?
- What are your LPs and other stakeholders asking for in the case of funds dedicated to healthcare?
- Emerging opportunities in healthcare
- Impact investing in healthcare and measuring impact

MODERATOR:

Molly Smith, Environmental, **Social, and Governance Consultant, Bridge House Advisors**

PANELISTS:

Jim Pieri, Managing Partner, **Chief Investment Officer, Assured Healthcare Partners**

Eli Rubin, Chief Executive Officer, **Proud Moments Behavioral Health**

Chanie Rubin, Chief Quality Officer, **Proud Moments Behavioral Health**

Jon Samuels, Partner, **Impact & Policy, Vistria**

1:00pm **Good Sustainability Reporting**

How you can improve sustainability reporting and disclosure on Environmental, Social, and Governance issues in an evolving landscape.

- Defining your reporting objective and key audiences
- Considering crucial success factors when developing your report
- Gaining value from the reporting process
- Demonstrating improvement in your disclosures over time

MODERATOR:

Sarah Corrigan, Principal Consultant, **ERM**

PANELISTS:

Lee A. Gardella, Head of Investment Risk & Monitoring, **Schroders**

Matthew Hershenson, Head of Investor Relations, **Paine Schwartz Partners**

Keren Raz, Sr. Responsible Investment and Governance Specialist, **Global Responsible Investment and Governance Team, APG Asset Management**

Candice Richards, Compliance Officer, **MidOcean Partners**

1:50pm **Afternoon Networking Break**

2:10pm **Spotlight discussion: impact investing with apollo global management**

MODERATOR:

Dan Mistler, Partner, **Head of ESG Services, ACA Compliance**

PANELISTS:

Marc Becker, Senior Partner and Co-lead of Impact, **Private Equity, Apollo Global Management**

Lisa Hall, Impact Chairperson, **Private Equity, Apollo Global Management**

Joanna Reiss, Partner and Co-lead of Impact, **Private Equity, Apollo Global Management**

2:50pm **Breakout Series**

TRACK A

Responsible investing for real estate investors

MODERATOR:

Ada Arevalo, Founder & CEO, **iimpact Capital**

PANELISTS:

George Ashton, President, **LISC Fund Management**

David Natt, Senior Vice President Asset Manager, **Avanath Capital Management**

Arturo Sneider, Partner, **Primestor**

TRACK B

ESG in private debt

A forward-looking conversation discussing sourcing/screening, monitoring/engagement and how debt and equity providers can collaborate on ESG during the due diligence process.

MODERATOR:

Chase Jordan, Vice President, **Malk Partners**

PANELISTS:

Adam Heltzer, Head of ESG, **Ares Capital Management**

Kristina Matthews, Managing Director, **Head of Investor Relations & ESG, Brightwood Capital Advisors**

Jessica Thompson, Executive Director, **Debt Investments, Deputy Head of North America Infrastructure Debt, IFM Investors**

TRACK C

Sustainable Infrastructure investing

- Laying the foundations for sustainable infrastructure investing
- Sustainable and inclusive recovery
- How can infra investors contribute to SDG outcomes?

MODERATOR:

Dan Winters, Head of Americas, **GRESB**

PANELISTS:

Andrew Kessler, Acting President, **NY Green Bank**

Samuel J. Lissner, Principal, **Ridgewood Infrastructure**

Jim Pass, Senior Managing Director, **Guggenheim Partners**

Cassie Winn, Executive Director, **Infrastructure Investments Group, J.P. Morgan Asset Management**

3:40pm **Networking Break**

3:50pm **Environmental, Social, and Governance Integration**

Effectively incorporating ESG factors into the investment underwriting process.

MODERATOR:

Robert Jenkins, Global Head of Research, **Refinitiv**

PANELISTS:

Michael Cappucci, Managing Director for Compliance and Sustainable Investing, **Harvard Management Company (HMC)**

Amy O'Brien, Head of Responsible Investing, **Nuveen**

Mackenzie Turner, Director of Impact, **Vistria**

4:35pm **Keynote Fireside Chat: Transitioning to Net Zero**

Focusing on companies that are carbon intensive and steering them on a path towards net zero.

MODERATOR:

Toby Mitchenall, Senior Editor, **Private Equity, PEI Media**

KEYNOTE SPEAKER:

Connor Teskey, Managing Partner, **CEO, Renewable Energy, Brookfield Asset Management**

March 4th | Day 2

9:00am **Women's Roundtable (Invitation-only)**

How does investing with a gender lens impact our social and economic future?

CLOSED DOOR | OFF THE RECORD

MODERATOR:

Aditi Mohapatra, Managing Director, **BSR**

9:55am **PEI Welcome -Pre-recorded**

Seth Kerker, Director of Events - Americas, **PEI**

10:00am **Keynote leadership discussion**

MODERATOR:

Jordan Stutts, Senior Writer for Private Markets, **PEI Media**

KEYNOTE SPEAKERS:

Kip Kirkpatrick, Senior Partner, **Co-Chairman & Co-CEO, Vistria**

Marty Nesbitt, Senior Partner, **Co-Chairman & Co-CEO, Vistria**

10:40am **Emerging opportunities in impact investing**

- Raising capital for impact
- Understanding how to effectively measure and manage impact
- Where in the market do you see the biggest potential opportunity - climate/carbon solutions, fintech/wealth inequality, education, healthcare, others?

MODERATOR:

Meg Lentz, Vice President, **Malk Partners**

PANELISTS:

Mark Berryman, Managing Director, **Impact Investing, Caprock**

Antonio Marquez, Managing Partner & Founding Principal, **Comunidad Partners**

Megan Starr, Global Head of Impact, **The Carlyle Group**

Julia Wittlin, CFA, **CAIA, FRM, Managing Director and Senior Portfolio Manager, BlackRock Private Equity Partners (PEP)**

11:30am **Morning Networking Break**

TRACK A:

Responsible agriculture investment strategies

- Identifying compelling investment opportunities through a thoughtful ESG lens
- ESG integration
- Ag climate challenges

MODERATOR:

Chris Janiec, Americas Editor, **Agri Investor**

PANELISTS:

Atish Babu, Senior Vice President, **Agriculture Capital**

Jay Koh, Managing Director, **The Lightsmith Group**

Allison L. Spector, Director of Responsible Investment, **Real Assets and Private Markets, Nuveen**

TRACK B:

The Impact of Covid-19 on Logistics and Supply Chain

- Have we become too tight on logistics and supply chain?
- Managing ESG risk in the supply chains of private companies and assets
- The benefits of good ESG risk management in supply chains

MODERATOR:

Michael Rohwer, Director, **Information and Communications Technology, BSR**

PANELISTS:

Deborah Albers, Vice President, **Responsible Business Alliance**

Dean Alborough, Head of ESG, **Old Mutual Alternative Investments (OMAI)**

Sean Barr, Regional Vice President, **EcoVadis**

TRACK C:

ESG in Venture Capital (Pre-recorded)

MODERATOR:

Peter Dunbar, Private Equity Senior Specialist, **Principles for Responsible Investment**

PANELIST:

Traci Barba, Global Executive Director ESG and Investor Market Development, **500 Startups**

Uli Grabenwarter, Deputy Director of Equity Investments, **EIF (European Investment Fund)**

David Mott, Founding Partner, **Oxford Capital**

Susan Winterberg, Former Fellow, **Technology and Public Purpose Project, Belfer Center, Harvard Kennedy School**

12:45pm **Driving diversity for better results**

Diversity & inclusion are a business imperative.

MODERATOR:

Carmen Ortiz-McGhee, Chief Operating Officer, **National Association of Investment Companies (NAIC)**

PANELISTS:

Khalida Ali, Director, **Diversity & Inclusion, Vista Equity Partners**

Sarah Bratton Hughes, Head of Sustainability, **North America, Schroders**

Sanjiv Shah, Managing Director, **Emerging & Diverse Managers Team, Harbourvest**

Paul Yett, Head of ESG & Sustainability, **Hamilton Lane**

1:40pm **Environmental Justice**

- Has ESG proven effective so far in making an impact? And is ESG saving the planet for everybody?
- Combating climate change
- Will the Biden administration boost inclusive sustainable investments?

MODERATOR:

Brooke Dillon, Senior Managing Consultant, **Ramboll**

PANELISTS:

Dr. Michael K. Dorsey, CEO & Co-Founder, **Around the Corner Capital (USA), Managing Director, IberSun (Spain) & LP Pahal Solar**

Tara Guelig, Director of Sustainability and Impact, **The Lightsmith Group**

Andrew Rodriguez, CEO & Chief Investment Officer, **Change Finance**

2:30pm **Networking Break**2:45pm **How to use impact and ESG data to drive value creation**

MODERATOR:

Kirk Hourdajian, Head of ESG & Impact, **Vista Equity Partners**

PANELISTS:

Bob Conlin, CEO, **NAVEX**

Erin Mulligan Nelson, CEO, **Social Solutions**

Rick Needham, Partner & Energy Sector Lead, **The Rise Fund**

3:30pm **Chairwoman's Closing Remarks**

CHAIRWOMAN:

Carol Jeppesen, CAIA, **Head of US, Principles for Responsible Investment (PRI)**