Regulatory Compliance Watch

The Full 360° Compliance View Washington DC 2020



Steve Flammey
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Steve Flammey is CFO/CCO at Redwood Investments in Newton, Mass. He has worked at Redwood since the firm's inception in 2004, and is responsible for the firm's day-to-day financial management, compliance with all regulatory filings, and oversight of the Redwood compliance program. The firm is an SEC-registered investment adviser with approximately \$2 billion in assets under management. The firm specializes in managing Large and Small Capitalization Equity portfolios for ERISA, foundation, endowment and private clients.

Prior to joining Redwood Investments, Mr. Flammey worked for six years at Fidelity Investments where he was Director of Finance for Fidelity Ventures (Fidelity's ventures capital group) and before that the Fidelity Charitable Gift Fund. In both roles, he had responsibility for finance, accounting, tax, and related compliance issues. Prior to Fidelity, he worked for 10 years in corporate finance and commercial banking. Mr. Flammey received a bachelor's degree in commerce from the University of Virginia, a masters in business administration from Babson College, and is a member of the National Society of Compliance Professionals.