

Agenda

Interested in participating? Contact Zakira Ralling at zakira.r@peimedia.com or +1 646 545 3321.

Tuesday, March 5, 2019

8:00 AM	<p>GP leadership think tank breakfast (invitation only)</p> <ul style="list-style-type: none">• Is ESG analysis more important for risk management or value creation?• What metrics associated with responsible investing have been most meaningful?• What is the difference between how American and European LPs value ESG programs, and why?• How do portfolio company management teams engage with GP-led ESG initiatives?• What will responsible investing mean in a recessionary environment? <p>Facilitator: Adam Blumenthal</p>
8:00 AM	<p>LP think tank breakfast (invitation only)</p> <ul style="list-style-type: none">• What are the top issues for LPs as it relates to responsible investment?• Collectively as an LP community what can we do? where can we play? What can we ask from our GPs to invest more responsibly as it relates to ESG?• What's most important to you?• What can the LP community do collectively?• In what areas can we collaborate to effect change? <p>Facilitators: Emily Mendell, George Wong</p>
8:00 AM	<p>Registration and welcome breakfast</p>
9:00 AM	<p>PEI welcome</p> <p>Speaker: Seth Kerker</p>
9:10 AM	<p>Chairman's opening remarks</p> <p>Chairman: Chris Fowle</p>
9:15 AM	<p>Keynote address Chicago's ESG strategy: implications for private equity</p> <p>Treasurer Summers has led the City of Chicago to implement the most comprehensive ESG integration effort from any major city in the United States, and to become the largest city in the world to be a signatory of the Principles of Responsible Investment (PRI).</p> <p>Keynote Speaker: Kurt Summers</p>
9:55 AM	<p>Spotlight discussion featuring The Carlyle Group: women effecting change in PE</p> <p>Panelists: Kara Helander, Georgette Kiser, Jackie Roberts</p> <p>Moderator: Wendy Garcia</p>
10:40 AM	<p>ESG and the American Frontier</p> <p>ESG is still very much in expansion stage in the US and there are real challenges for ESG advocates</p> <ul style="list-style-type: none">• What are US investor perspectives on ESG and how is this affecting LP-GP dialogue in the US?• How are politics affecting investment agendas? Has US politics altered the ESG agenda?• How do we address the US / European gap? How will practice evolve in the US?• Where are we not living up to our potential? What's next...What haven't we cracked? What's the future?• What role can responsible investors play to address systemic problems?• What are effective ways to bring ESG agendas forward? <p>Panelists: Michael T. Cappucci, Rick Davis,</p>

Adam Heltzer,
Dan Jacobs,
Sonal Mahida

Moderator: Alan Kao

11:40 AM

Networking break

12:00 PM

ESG due diligence

- How do GPs integrate ESG issue review in a way that enhances due diligence?
- How do you make sure that ESG findings are material and that recommendations are actionable?
- ESG diligence is not a book report; how do you continue to monitor and improve against ESG risks identified in diligence?
- What are the distinctions between ESG due diligence and other advisor's diligence reviews? How do their findings complement each other?

Panelists: Will Baine,
Bennet Grill,
James S. Hoch,
Julia Wittlin CFA, CAIA, FRM

Moderator: Andrew Malk

12:45 PM

Networking lunch

2:00 PM

Human capital as a driving force for value

- What value does employee engagement and retention represent?
- What are effective methods to address inequality in the workforce?
- Why is diversity important to LPs? Why should it be important to your firm?
- What is the opportunity in gender lens investing?

Panelists: Dr. Alice Mann,
Aditi Mohapatra,
Ana Rodriguez,
Abrielle Rosenthal

Moderator: Barbara Brown

Breakout series

2:50 PM

Track A | Responsible innovation: Embedding public purpose in emerging tech investment decisions

New technologies bring benefits to billions through improved health, productivity, and convenience but unless we actively manage their risks to society, they may also bring unforeseen destructive consequences.

- What are existing practices to evaluate potential societal impacts of investments?
- How well do current ESG tools capture issues of emerging tech and where do investors need additional guidance on issues such as bias, privacy, global security?
- How can investors and founders integrate ESG considerations earlier during business plans, market launches, and scaling?
- What does productive and responsible engagement with governments and stakeholders look like for disruptive technology and business models?

Panelists: Matthijs Baan,
Susan Winterberg

Moderator: David Korngold

2:50 PM

Track B | Supply chain: social and environmental issues

- What steps can investors take to address the reduced investment returns and reputational risks linked to supply chains ESG risks?
- Which frameworks might help investors evaluate the sources of risk? What are some best practices in current efforts to upgrade supply chain standards and practices?
- What are the different mechanisms employed by companies to identify and manage ESG supply chain risks? When is a compliance program enough?

Panelists: John Hodges,
Marianne Voss

Moderator: Ryan Miller

2:50 PM	<p>Track C Engaging the United Nations sustainable development goals as a strategic assessment tool</p> <p>Companies have begun to gravitate toward using the UN Sustainable Development Goals (SDGs) as a compliance and disclosure framework. Given the breadth and depth of the 17 SDGs and their hundreds of indicators, this can pose challenges. A more effective use for investors is to utilize the SDGs as a strategic screen to help determine how current and prospective holdings are situated to take advantage of emerging global market opportunities and mitigate growing global risks. This session will identify research showing the potential of the SDGs to drive a variety of trillion-dollar global markets. It will engage participants in a practical framework to narrow the SDGs to the most strategically relevant areas, and to use those to identify how existing and potential holdings can use the SDGs to enhance their competitive positioning and financial performance. The session will provide specific examples applied to investment firms. It will provide opportunities for participants to apply the framework in true-to-life scenarios.</p> <p>Panelists: Francesco Ambrogetti, Beth Lowery, Mike Muldoon, Andrew Siwo, George Wong</p> <p>Moderator: Steve Rochlin</p>
---------	---

3:40 PM	Networking break
4:00 PM	<p>LAVCA awards presentation</p> <p>Presenter: Cate Ambrose</p>
4:15 PM	<p>Closing keynote address</p> <p>Keynote Speaker: Steve Klinsky.</p> <p>Interviewed by: Natasha Buckley.</p>
5:00 PM	<p>Chairman's closing remarks</p> <p>Chairman: Chris Fowle</p>
5:05 PM	Cocktail reception

Wednesday, March 6, 2019

8:00 AM	Registration and breakfast
9:00 AM	<p>Chairman's welcome</p> <p>Chairman: Chris Fowle</p>
9:15 AM	<p>Keynote interview</p> <p>Moderator: Isobel Markham</p> <p>Featured Keynote Speakers: Tracy Harris, John R. Monsky</p>
9:55 AM	<p>The ESG and impact investing dynamic</p> <p>What is different about what impact funds have to offer? What impact can larger funds offer at scale?</p> <ul style="list-style-type: none"> • How are you capturing the value of social impact your firm is generating? • Emerging trends effecting the progress of impact measurement • Impact investing and fundraising <p>Panelists: Chris Cozzone, Colin Etnire, David Helgerson, Brett Hickey,</p>

Elizabeth Seeger

Moderator: Dan A. Mistler

10:45 AM

Networking break

11:05 AM

ESG data and reporting: making it meaningful

ESG is an emerging core competence. We can challenge ourselves to report the numbers to better analyze their value potential.

- Data quality - defining the metrics
- Increasing demand for transparency
- How do we benchmark the data?
- Understanding and meeting LP data requirements
- Where does the data come from/relevant sources/third parties as alternative

Panelists: Dr. Philipp Aeby,
Jeff Cohen, CAIA,
Don Reed, CFA,
Sheryl Schwartz

Moderator: Alison Larkins

11:55 AM

How is climate change impacting investments?

Climate change seems to be the highest ESG priority facing many investors. How can investors effectively protect their portfolios while proactively seeking opportunities in low-carbon global economy?

Panelists: John Adler,
Jay Koh,
Emily S. Kreps,
David Russell

Moderator: Anadi Jauhari, CAIA

12:45 PM

Chairman's closing remarks

Chairman: Chris Fowle

12:50 PM

Networking lunch