



**Responsible Investment  
Forum New York 2019**

**Effectively integrating ESG  
to create sustainable value**

Co-hosted with:

**March 5-6**  
**Convene, 730 Third Ave.**

**PRI** Principles for  
Responsible  
Investment

# Help move the ESG agenda forward with over 200 LPs, GPs and associations

## Confirmed attendees include

American Industrial Partners • Anthesis Group • Arcadis • Avista Capital Partners • Benefit Street Partners • Berkshire Partners • BlackRock Private Equity Partners • Bridge House Advisors • Brookfield Asset Management • BSR • The Carlyle Group • CDP North America • Centerbridge Capital Partners • Chauncey F. Lufkin III Foundation • City of Chicago • Clayton, Dubilier & Rice • Colonial Consulting • EcoVadis • Emerging Energy & Environment Investment Group • EnCap Investments • Episcopal Diocese of Pittsburgh • First Reserve • The Fund for Employee Ownership • Genstar Capital • Glouston Capital Partners • Harvard Kennedy School Belfer Center for Science and International Affairs • Harvard Management Company • IMPACT ROI • Institutional Limited Partners Association • The Jordan Company • KKR • Klaff Family Investments • Latin American Venture Capital Association (LAVCA) • Lexington Partners • Malk Partners • Neuberger Berman • New Mountain Capital • Northleaf Capital Partners • NovaQuest Capital Management • NYC Mayor's Office of Pension & Investments • Oak Hill Advisors • Oaktree Capital Management • Office of the New York City Comptroller • OPTrust Private Markets • Oregon State Treasury • Paine Schwartz Partners • Partners Group • Paul, Weiss, Rifkind, Wharton & Garrison • Pearl Energy Investments • Pegasus Capital Advisors • Platinum Equity • Principles for Responsible Investment • PSP Investments • Quantum Energy Partners • Quinn & Partners • Ramboll • RepRisk • Resource Management Service • Ridgewood • RPS • Second Swedish National Pension Fund (AP2) • Soltilis • Spruceview Capital Partners • Star Mountain Capital • Strategic Partners Fund Solutions • Sustainability Accounting Standards Board • Talamore Group • TIAA • TowerBrook Capital Partners • TSG Consumer Partners • United Nations Capital Development Fund • USS Investment Management • Wafra Investment Advisory Group • Warburg Pincus •

*"Consistently delivers a comprehensive overview of best practices for ESG management, delivered by a cross-section of the most influential LPs, fund-of-funds, and GPs of varying sizes."*

**Kelly A. Moylan**  
Talamore Group

 [View the preliminary attendee list](#)

# Keynote speakers



**Steve Klinsky**  
Founder & CEO  
New Mountain Capital



**Kurt Summers**  
Treasurer  
City of Chicago

## Spotlight discussion featuring The Carlyle Group




**Kara Helander**  
Managing Director  
& Chief Inclusion &  
Diversity Officer  
The Carlyle Group



**Georgette Kiser**  
Chief Information  
Officer  
The Carlyle Group



**Jackie Roberts**  
Chief Sustainability  
Officer  
The Carlyle Group

 [View more speakers online](#)

# Confirmed speakers include



**John Adler**  
Chief Pension Advisor  
NYC Mayor's Office of  
Pension & Investments



**Dr. Philipp Aeby**  
Chief Executive Officer  
Reprisk



**Francesco Ambrogetti**  
Partnerships Development  
Specialist  
United Nations Capital  
Development Fund



**Cate Ambrose**  
President and Executive  
Director  
LAVCA



**Matthijs Baan**  
Partner and Founder  
Spring Associates



**Will Baine**  
Senior Associate  
The Jordan Company



**Adam Blumenthal**  
Founder and Managing  
Partner  
Blue Wolf Capital Partners



**Barbara Brown**  
Senior Partner  
ERM



**Natasha Buckley**  
Investment Practices  
Senior Manager -  
Private Equity  
Principles for Responsible  
Investment (PRI)

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# Confirmed speakers include



**Michael T. Cappucci**  
Senior Vice President  
for Compliance and  
Sustainable Investing  
**Harvard Management  
Company**



**Jeff Cohen, CAIA**  
Institutional Product  
Strategist  
**Sustainability Accounting  
Standards Board**



**Chris Cozzone**  
Principal  
**Bain Capital Double  
Impact**



**Rick Davis**  
Partner & COO  
**Pegasus Capital Advisors**



**Colin Etnire**  
ESG Analyst  
**The Carlyle Group**



**Chris Fowle**  
Head of Americas  
**Principles for  
Responsible Investment**



**Bennet Grill**  
Principal  
**Blue Wolf Capital Partners**



**Tracy Harris**  
Partner and  
Head of ESG  
**StepStone Group**



**David Helgerson**  
Managing Director  
**Hamilton Lane**

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# Confirmed speakers include



**Adam Heltzer**  
Head of ESG &  
Sustainability  
Partners Group



**Brett Hickey**  
Founder and Chief  
Executive Officer,  
Star Mountain Capital



**James S. Hoch**  
Partner  
Tailwind Capital



**John Hodges**  
Managing Director,  
Infrastructure and Finance,  
BSR



**Daniel Jacobs**  
Principal  
Clayton, Dubilier  
& Rice



**Anadi Jauhari, CAIA**  
Senior Managing Director  
Emerging Energy &  
Environment Investment  
Group LLC



**Alan Kao**  
Principal and Compliance,  
Strategy and Transaction  
(CST) Global Service  
Line Leader  
Ramboll



**Jay Koh**  
Managing Director  
The Lightsmith Group



**David Korngold**  
Associate Director  
BSR



**Emily S. Kreps**  
Head of Investor Initiatives  
CDP North America



**Alison Larkins**  
Director of Environmental  
Social Governance  
TPG



**Beth Lowery**  
Managing Director for  
Sustainability and ESG  
TPG

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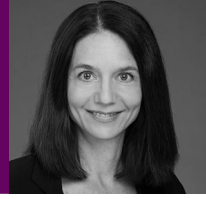
# Confirmed speakers include



**Sonal Mahida**  
Senior Advisor  
Intentional Endowments  
Network



**Andrew Malk**  
Managing Partner  
Malk Partners



**Dr. Alice Mann**  
Operating Partner  
Blue Wolf Capital Partners



**Isobel Markham**  
Senior Editor, Private  
Equity Group, Americas,  
Private Equity  
International



**Emily Mendell**  
Head of Marketing and  
Communications  
Institutional Limited  
Partners Association



**Ryan Miller**  
Principal,  
Malk Partners



**Dan A. Mistler**  
M&A Solutions,  
ESG Specialist  
Bridge House Advisors



**Aditi Mohapatra**  
Director, Women's  
Empowerment  
BSR



**John R. Monsky**  
Partner, General Counsel &  
ESG Committee Chair  
Oak Hill Capital Partners  
Foundation



**Mike Muldoon**  
Associate Director  
The Rockefeller  
Foundation



**Don Reed**  
Executive Director  
Anthesis Group



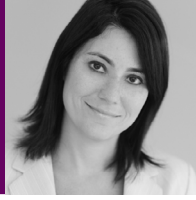
**Steve Rochlin**  
CEO  
IMPACT ROI

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# Confirmed speakers include



**Ana Rodriguez**  
Chief Human  
Resources Officer  
**The Lubrizol  
Corporation**



**Abrielle Rosenthal**  
Managing Director, COO  
& Chief Human  
Resources Officer  
**Towerbrook  
Capital Partners**



**David Russell**  
Head of Responsible  
Investment  
**USS Investment  
Management Ltd**



**Sheryl Schwartz**  
Managing Director  
**Flexstone Partners**



**Elizabeth Seeger**  
Director, Sustainable  
Investing  
**KKR**



**Andrew Siwo**  
Investment Director  
**Colonial Consulting, LLC**



**Marianne Voss**  
Supply Chain  
Compliance Lead  
**Malk Partners**



**Julia Wittlin, CFA,  
CAIA, FRM**  
Managing Director  
**BlackRock Private  
Equity Partners**



**George Wong**  
ESG Integration Manager,  
**New York State Common  
Retirement Fund**



**Susan Winterberg**  
Fellow  
**Harvard Kennedy School  
Belfer Center for Science and**

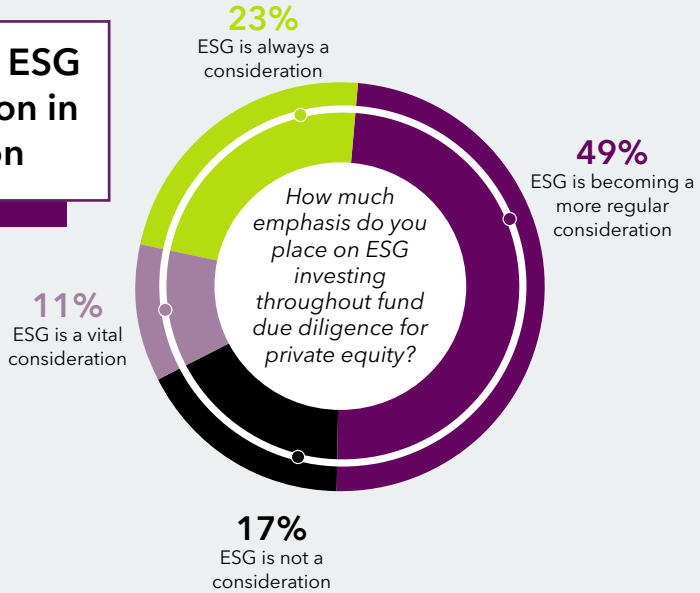
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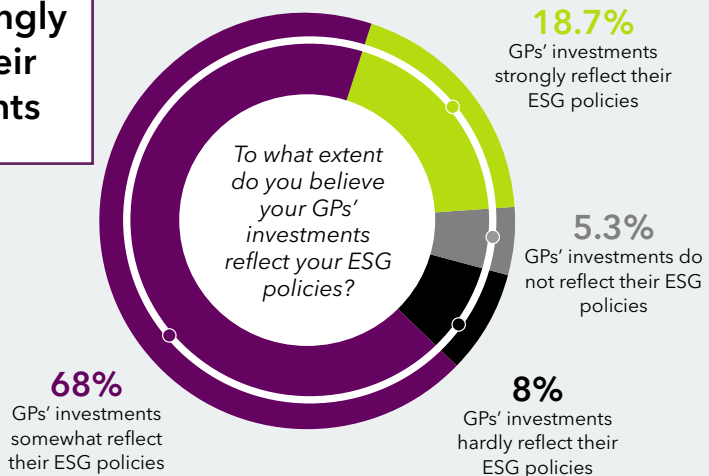
# ESG: a factor you can't afford to ignore


*LP perspectives survey results*

**89% of LPs take ESG into consideration in fund selection**



**Less than 20% of LPs think their ESG policies are strongly reflected in their GPs' investments**



 *Book now to bridge the gap between your policies and LP expectations*

# Agenda highlights

## Spotlight discussion featuring The Carlyle Group: women effecting change in PE



- » **Georgette Kiser**, Chief Information Officer, The Carlyle Group
- » **Kara Helander**, Managing Director & Chief Inclusion & Diversity Officer, The Carlyle Group
- » **Jackie Roberts**, Chief Sustainability Officer, The Carlyle Group

## ESG and the American Frontier

**ESG is still very much in the expansion stage in the US and there are real challenges for ESG advocates.**

- » What are US investor perspectives on ESG and how is this affecting LP-GP dialogue in the US?
- » How are politics affecting investment agendas? Has US politics altered the ESG agenda?
- » How do we address the US / European gap? How will practice evolve in the US?
- » Where are we not living up to our potential? Where are we not living up to our potential? What's next...What haven't we cracked? What's the future?
- » What role can responsible investors play to address systemic problems?
- » What are effective ways to bring ESG agendas forward?

## ESG due diligence

- » How do GPs integrate ESG issue review in a way that enhances due diligence?
- » How do you make sure that ESG findings are material and that recommendations are actionable?
- » ESG diligence is not a book report; how do you continue to monitor and improve against ESG risks identified in diligence?
- » What are the distinctions between ESG due diligence and other advisor's diligence reviews? How do their findings complement each other?

## Human capital as a driving force for value

- » What value does employee engagement and retention represent?
- » What are effective methods to address inequality in the workforce?
- » Why is diversity important to LPs? Why should it be important to your firm?
- » What is the opportunity in gender lens investing?

# Agenda highlights

## The ESG and impact investing dynamic



- » What is different about what impact funds have to offer?
- » What impact can larger funds offer at scale?
- » How are you capturing the value of social impact your firm is generating?
- » Emerging trends effecting the progress of impact measurement
- » Impact investing and fundraising

## ESG data and reporting: making it meaningful



ESG is an emerging core competence. We can challenge ourselves to report the numbers to better analyze their value potential.

- » Data quality - defining the metrics
- » Increasing demand for transparency
- » How do we benchmark the data?
- » Understanding and meeting LP data requirementsAddressing the gap between investors and companies

## How is climate change impacting investments?



Climate change seems to be the highest ESG priority facing many investors. How can investors effectively protect their portfolios while proactively seeking opportunities in a low-carbon global economy?

## Engaging the United Nations Sustainable Development Goals as a strategic assessment tool



This session will identify research showing the potential of the SDGs to drive a variety of trillion-dollar global markets. It will engage participants in a practical framework to narrow the SDGs to the most strategically relevant areas, and to use those to identify how existing and potential holdings can use the SDGs to enhance their competitive positioning and financial performance. The session will provide specific examples applied to investment firms as well as provide opportunities for participants to apply the framework in true-to-life scenarios.

# Sponsors

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# Pricing

<b>General delegate</b>	\$2,595
<b>PRI member*</b>	\$1,895
<b>Qualified investors*</b>	Complimentary

\* Subject to approval. Contact Zakira Ralling at zakira.r@peimedia.com with questions.

# Registration



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[www.privateequityinternational.com/responsible-investment-forum-new-york](http://www.privateequityinternational.com/responsible-investment-forum-new-york)



**Phone**  
+1 212 633 1073

## Venue

**Convene**  
730 Third Ave, 17th floor  
New York, NY 10017

## Hotel accommodations

**Grand Hyatt**  
109 East 42nd Street  
New York, NY 10017