



**Private Equity  
International**

# **Private Fund Compliance Forum**

## **New York 2020**

May 13-14 | Convene, 730 Third Ave

**Effective strategies for fine-tuning  
compliance programs in an increasingly  
complex private equity environment**

**PEI**

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# AGENDA HIGHLIGHTS

- » Emerging issues and impact of the 2020 election/Brexit on private funds
- » Conflicts of interest: What is SEC looking for
- » Clarifying what exactly can be charged back and how to effectively treat expenses when they occur
- » When is it absolutely necessary to create European-registered investment vehicles
- » CCO and CFO and COO and...small(er) firm compliance challenges
- » What should a compliance executive think about when a business enters into a co-investment arrangement
- » ESG considerations for private equity funds
- » In the interim: How are firms practically addressing the SEC's advertising proposal and its potential application to social media platforms
- » Being a part of the business: How does a CCO continue to show value and be an integrated member of a team
- » Ensuring the effectiveness of current and developing additional processes and controls for a successful management of a compliance department
- » Moving from single to multiple strategies: As a private equity firm grows, what are the cost-efficient strategies for dealing with the expanding compliance function

To view the **full agenda**, visit: [privateequityinternational.com/pfcny](https://privateequityinternational.com/pfcny)

# SPEAKERS INCLUDE



**Jennifer Cattier**  
General Counsel & Chief  
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**Almanac Realty  
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**Catherine Colyer**  
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**WaterEquity**



**Stephen Erwin**  
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**Wafra**



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Managing Director and  
Chief Compliance Officer  
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Chief Compliance Officer  
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For a full list of speakers visit: [privateequityinternational.com/pfcny](http://privateequityinternational.com/pfcny)



## KEYNOTE SPEAKER

**Adriana Sanford, J.D., DUAL LL.M.**

Senior Fellow, Center for Intelligence and  
National Security

**University of Oklahoma**

Dr. Adriana Sanford is an award-winning Chilean American cybersecurity and global privacy law expert, an international corporate lawyer, educator, author, and international television commentator, who appears regularly as a CNN Español analyst to over 90 million viewers throughout the United States and Latin America.

## FEATURED SPEAKER

**Igor Rozenblit**

Assistant Director, Co-Head Private  
Funds Unit

**U.S. Securities and Exchange  
Commission**

Before joining the Private Funds Unit, Mr. Rozenblit was a private funds expert in the Division of Enforcement's Asset Management Unit. Prior to joining the SEC, Mr. Rozenblit managed the North American funds portfolio for a large European asset manager and worked at several private equity advisers.



## PRICING

Registration type	Preferred price ends March 13	Full price
General delegate	\$2,695	\$3,095
Service provider	\$3,995	
Qualified investor	Complimentary*	

\*Subject to the review and approval of the event organizer

## REGISTRATION

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☎️ +1 212 633 1073

## EVENT VENUE

Convene, 730 Third Ave, New York, NY 10017

## HOTEL ACCOMMODATIONS

We have arranged a special conference rate at The Benjamin Hotel, 125 East 50th Street, NY, NY 10022. Rooms are limited and are on a first-come-first-served basis; early booking is strongly advised. Deadline to book is April 21, 2020.

## CLE & CPE CREDITS

**New for this year**, CLE credits are now available! In addition to CPE, Private Equity International is pleased to offer our attendees continuing legal education credits.

**Learn more here:**

[privateequityinternational.com/pfcny](https://privateequityinternational.com/pfcny)