

**Private Equity
International**

Private Fund Compliance Forum New York 2020

May 13-14 | Convene, 730 Third Ave

**Effective strategies for fine-tuning
compliance programs in an increasingly
complex private equity environment**

PEI

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AGENDA HIGHLIGHTS

- » Emerging issues and impact of the 2020 election/Brexit on private funds
- » Conflicts of interest: What is SEC looking for
- » Clarifying what exactly can be charged back and how to effectively treat expenses when they occur
- » When is it absolutely necessary to create European-registered investment vehicles
- » CCO and CFO and COO and...small(er) firm compliance challenges
- » What should a compliance executive think about when a business enters into a co-investment arrangement
- » ESG considerations for private equity funds
- » In the interim: How are firms practically addressing the SEC's advertising proposal and its potential application to social media platforms
- » Being a part of the business: How does a CCO continue to show value and be an integrated member of a team
- » Ensuring the effectiveness of current and developing additional processes and controls for a successful management of a compliance department
- » Moving from single to multiple strategies: As a private equity firm grows, what are the cost-efficient strategies for dealing with the expanding compliance function

To view the **full agenda**, visit: privateequityinternational.com/pcfnyc

SPEAKERS INCLUDE



Jennifer Cattier
General Counsel & Chief
Compliance Officer
**Almanac Realty
Investors, LLC**



Catherine Colyer
Chief Compliance Officer
WaterEquity



Stephen Erwin
Chief Legal and
Compliance Officer
JCR Capital



Yonah Feder
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James Gilligan
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and Chief Compliance
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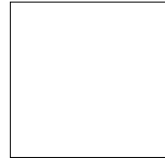
Sauna Harrison
Director of Compliance
**PGIM, Inc. - Prudential
Capital Group**



Michael Henry
Managing Director, Chief
Compliance Officer
Wafra



Miki Kamiyo
Managing Director and
Chief Compliance Officer
**Annaly Capital
Management, Inc.**



Nadir Nurmohamed
Chief Compliance Officer
& Senior Legal Counselor
**Searchlight Capital
Partners**



Kelly Pettit
Senior Vice President,
Chief Compliance Officer
and Associate General
Counsel
General Atlantic



Arthur Price
Chief Compliance
Officer, Assistant General
Counsel
**Thomas H. Lee
Partners, L.P.**



Steven Schwab
Director, Legal and Chief
Compliance Officer
Thoma Bravo

For a full list of speakers visit: privateequityinternational.com/pcfcny

KEYNOTE SPEAKER



Adriana Sanford

Senior Fellow, Center for Intelligence and National Security

University of Oklahoma

Dr. Adriana Sanford is an award-winning Chilean American cybersecurity and global privacy law expert, an international corporate lawyer, educator, author, and international television commentator, who appears regularly as a CNN Español analyst to over 90 million viewers throughout the United States and Latin America.

FEATURED SPEAKER

Igor Rozenblit

Assistant Director, Co-Head Private Funds Unit

U.S. Securities and Exchange Commission

Before joining the Private Funds Unit, Mr. Rozenblit was a private funds expert in the Division of Enforcement's Asset Management Unit. Prior to joining the SEC, Mr. Rozenblit managed the North American funds portfolio for a large European asset manager and worked at several private equity advisers.



PRICING

Registration type	Preferred price ends March 13	Full price
General delegate	\$2,695	\$3,095
Service provider	\$3,995	
Qualified investor	Complimentary*	

*Subject to the review and approval of the event organizer

REGISTRATION

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EVENT VENUE

Convene, 730 Third Ave, New York, NY 10017

HOTEL ACCOMMODATIONS

We have arranged a special conference rate at The Benjamin Hotel, 125 East 50th Street, NY, NY 10022. Rooms are limited and are on a first-come-first-served basis; early booking is strongly advised. Deadline to book is April 21, 2020.

CLE & CPE CREDITS

New for this year, CLE credits are now available! In addition to CPE, Private Equity International is pleased to offer our attendees continuing legal education credits.

Learn more here:

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