



# Private Fund Finance & Compliance 2018

**OCTOBER 3-4**

**THE MARKER SAN FRANCISCO**

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**Defining private fund finance & compliance  
in a shifting regulatory environment**

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**PRIVATE EQUITY  
INTERNATIONAL**



## KEYNOTE SPEAKERS



**Robert J. Jackson Jr.**  
Commissioner  
U.S. Securities and  
Exchange Commission

Robert J. Jackson Jr. was appointed by President Donald Trump to the U.S. Securities and Exchange Commission (SEC) and was sworn in on January 11, 2018. Commissioner Jackson has extensive experience as a legal scholar, policy professional, and corporate lawyer. He comes to the SEC from NYU School of Law, where he is a Professor of Law.



**Dr. Ted Egan**  
Chief Economist  
City and County of San  
Francisco

In this role, Dr. Egan directs the Office of Economic Analysis in the City Controller's Office. Since he joined the City in 2007, his office has published over 100 economic impact reports on policy issues like the minimum wage, affordable housing, business taxes, land use planning, sporting events, and short-term rentals.

"Good conference, lot's of C-level execs.  
The outsourcing breakout session was excellent!"

**David Ludwig, Vistra**

## 01/ FIRESIDE CHAT: A CONVERSATION WITH ARES MANAGEMENT'S CFO



Interviewed by

### **MICHAEL MCFERRAN**

Partner, Chief Financial Officer  
Chief Operating Officer  
**Ares Management LLC**

### **CLIFF COMMACK**

Partner, Private Equity  
Assurance and Advisory  
Services  
**EY**

## 02/ SPOTLIGHT DISCUSSION WITH TPG



### **BRADFORD BERENSON**

Firm Partner and  
General Counsel  
**TPG**



### **JOANN HARRIS**

Firm Partner and Chief  
Compliance Officer  
**TPG**

"Great focus on compliance. Great size (good chance to meet with lots of similarly minded professionals)."

**Walter O'Haire, Valuation Research Corporation**

## 03/ SEC UPDATE

What is the next issue that private fund managers need to get in front of in order to stay on the right side of regulators?

## 04/ REGULATORY AND COMPLIANCE CHALLENGES FOR PRIVATE EQUITY FUNDS

Private investment funds are likely to face new regulatory challenges and increased litigation risks in 2018 / 2019. What proactive and tangible steps can they take to help ensure their firms are set up to succeed? What do you expect will be the main regulatory and compliance challenges for the second half of 2018 and beyond?

## 05/ IS BLOCKCHAIN THE SOLUTION TO PE FUND ADMINISTRATION?

Blockchain, which first emerged as the system underpinning cryptocurrency bitcoin, is an immutable shared ledger of transactions that is maintained by a network of computers, rather than a centralized authority. As it creates a shared ideal source of data it can reduce errors and the need for reconciliation. Will blockchain administration usher PE professionals into a new error of fund administration?

## 06/ THE IMPACT OF TAX REFORM ON PRIVATE EQUITY

- Carried interest reform
- Fundamental structure of LBOs
- Corporate tax cuts
- Deal valuations

## 07/ SEC EXAMINATION PRIORITIES

- What firms have recently undergone and what they're seeing
- What types of questions is the examiner asking?
- Were there any additions/surprises to request lists
- What the SEC is focusing on right now

## 08/ BREAKOUT SERIES: TRACK A SPOTLIGHT: TECHNOLOGY DEMO

- "Automated waterfall for private equity" presented by Koger, Inc.
- "InvestorVision: Meeting investor transparency demands" presented by SS&C GlobeOp
- Archive and Message - the future of financial services collaboration" presented by Global Relay

## 09/ BREAKOUT SERIES: TRACK B CAPITAL FORMATION AND REGULATION

- Update on regulatory considerations when fundraising
- Different regulatory considerations encountered in the US vs internationally
- Regulatory considerations portfolio companies might face

## 10/ CFO/CCO THINK TANK (INVITE ONLY)

This closed-door session will allow CFOs and COOs to discuss issues currently impacting the firm. This session will allow for peer-to-peer networking to aid in addressing some of your daily challenges.

## SPEAKERS



**Kevin Bloss**  
Associate General Counsel  
**CarVal Investors**



**Jason Brown**  
Partner  
**Ropes & Gray**



**David Byrd**  
Blockchain Assurance  
Research Lead, Americas  
Financial Services  
**EY**



**James Cassidy**  
Managing Director - Fund  
Compliance and Finance  
**Monroe Capital, LLC**



**Doug Cruikshank**  
Head of Fund Financing  
and Hark Capital  
**Aberdeen Standard  
Investments**



**Sarah Davidoff**  
Partner  
**Ropes & Gray, LLP**



**Gautham Deshpande**  
Partner  
**EisnerAmper, LLP**



**Dan Drury**  
Tax Director  
**Makena Capital  
Management, LLC**



**Jason Granger**  
Chief Executive Officer  
**Intellisys Capital**



**Ashwini Habbu**  
Counsel  
**Clifford Chance US, LLP**



**Greg Hegerich**  
Vice President, Compliance  
**Bain Capital, LP**



**Matis Jasicek**  
Regional Director, Sales  
**Global Relay**

Interested in speaking?

Please contact **Zakira Ralling** at [zakira.r@peimedia.com](mailto:zakira.r@peimedia.com) or +1 646 545-3321

## SPEAKERS



**Rishi Khanna**  
Managing Director,  
Alternative Assets  
Technology  
**SS&C GlobeOp**



**Kabir Masson**  
Associate General Counsel  
**GI Partners**



**Darren Mooney**  
Senior Principal Consultant  
Investment Adviser  
Compliance,  
**ACA Compliance**



**Daniel Morley**  
Senior Business Analyst and  
Pre-Sales Consultant  
**Koger Inc.**



**Chad Neale**  
Managing Director  
**ACA Aponix**



**Annette O'Donnell-Butner**  
Chief Compliance Officer for  
Public Markets  
**KKR**



**Erin Schneider**  
Associate Regional Director,  
Enforcement, San Francisco  
Regional Office  
**U.S. Securities and Exchange  
Commission**



**Kristin Snyder**  
Associate Regional Director,  
Examinations, San Francisco  
Regional Office  
**U.S. Securities and Exchange  
Commission**



**Amelia Stoj**  
Chief Compliance Officer,  
Director of Investor Relations  
and Registered In-House  
Counsel  
**Foresite Capital**



**Dale Thompson**  
CPA, Assurance Partner  
Asset Management Practice  
**BDO**



**Pedro Urrutia**  
Chief Financial Officer  
**Clearlake Capital Group, L.P.**



**Eric Wauthy**  
Partner, Financial Services  
Organization  
**EY**

Interested in speaking?

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## 3 REASONS TO ATTEND



### EXPLORE CRITICAL CHANGES

Learn about the latest approaches for mitigating risk and managing the firm's compliance program.



### CONNECT WITH THE INDUSTRY

Join finance and compliance professionals to discuss how to effectively manage their dual-hatted functions.



### HOT TOPICS

Discover new ways to deal with conflicts of interest, cybersecurity, tax changes, expense allocations and valuations.

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## PRICING

	Full price
General delegate	\$2,995
Venture capital	\$1,095* ( <i>*Subject to verification</i> )
<b>Institutional investor</b> Institutional investors are defined as permanent employees from foundations, endowments, public pension funds, private pension funds, and sovereign wealth funds.	Complimentary* ( <i>*Subject to verification</i> )

## REGISTRATION



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